

# Moduli Spaces, Geodesics, and Flops

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String Pheno 2022  
07/04/2022

**Based on**

[Brodie, Constantin, Lukas, FR: 2112.12106]

[Brodie, Constantin, Lukas, FR: 2108.10323]

[Brodie, Constantin, Lukas, FR: 2104.03325]

[Ashmore, FR: 2103.07472 ]



# Motivation

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**Swampland Distance Conjecture:** [Ooguri, Vafa '06]

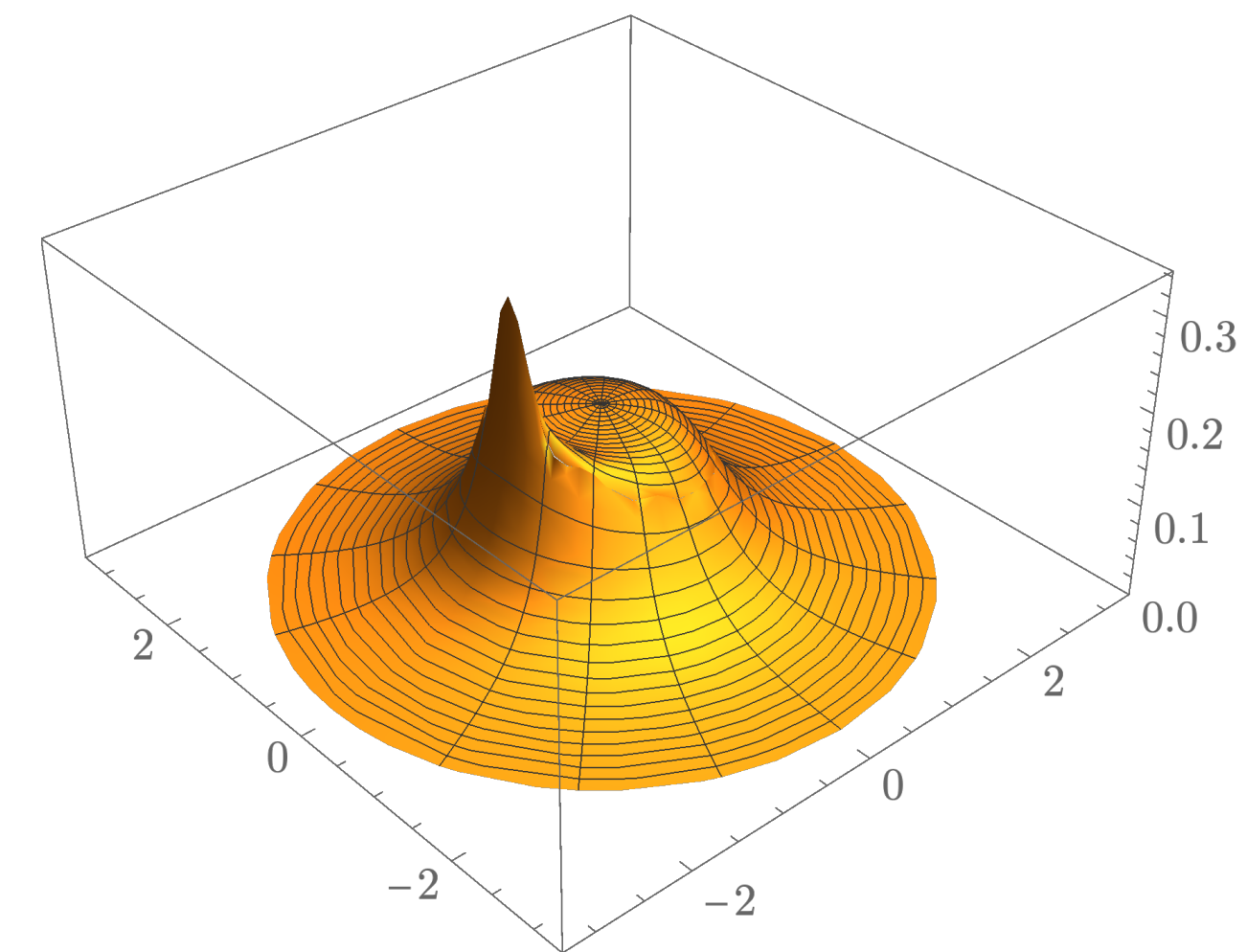
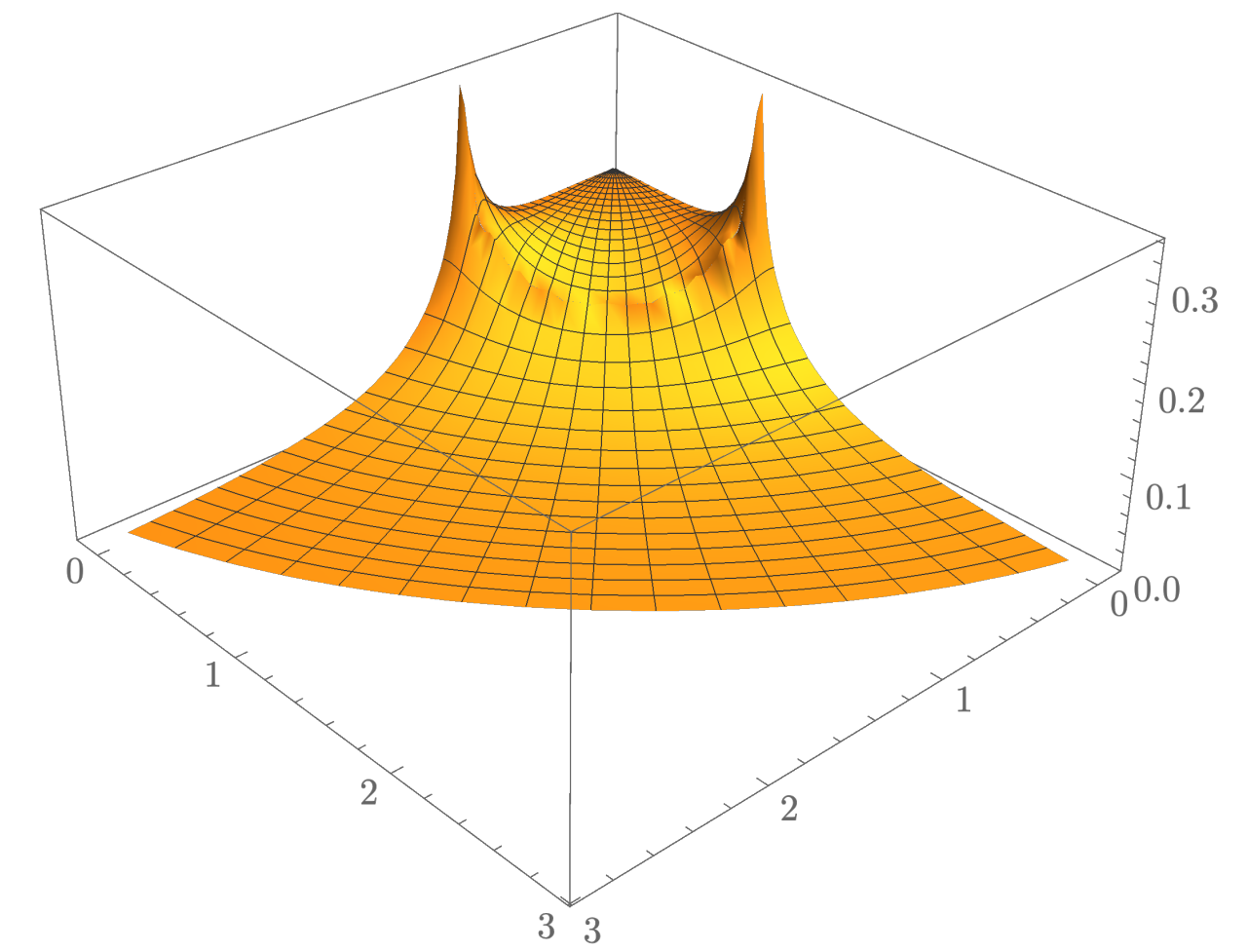
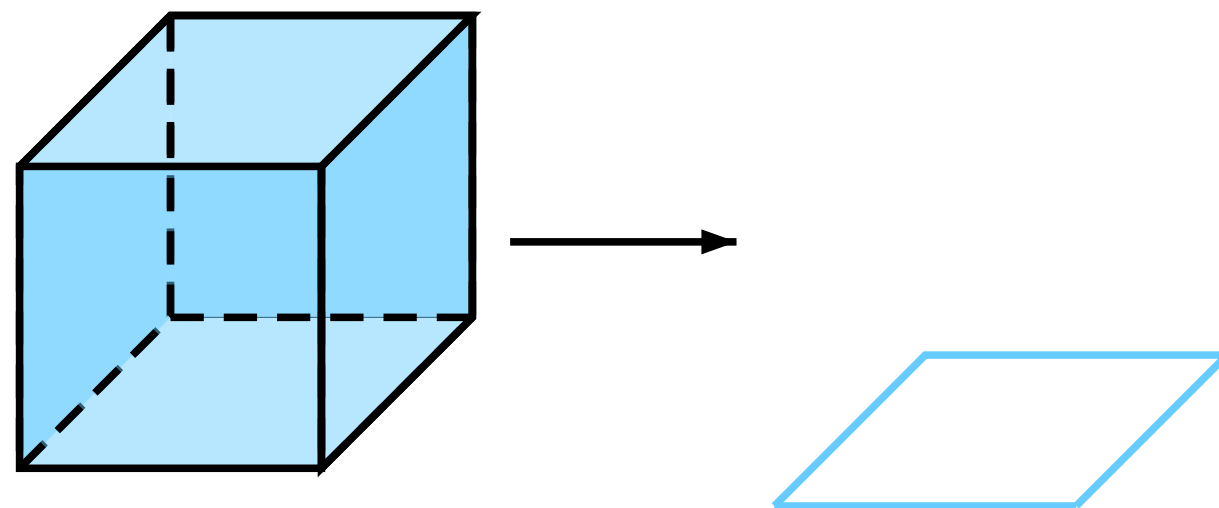
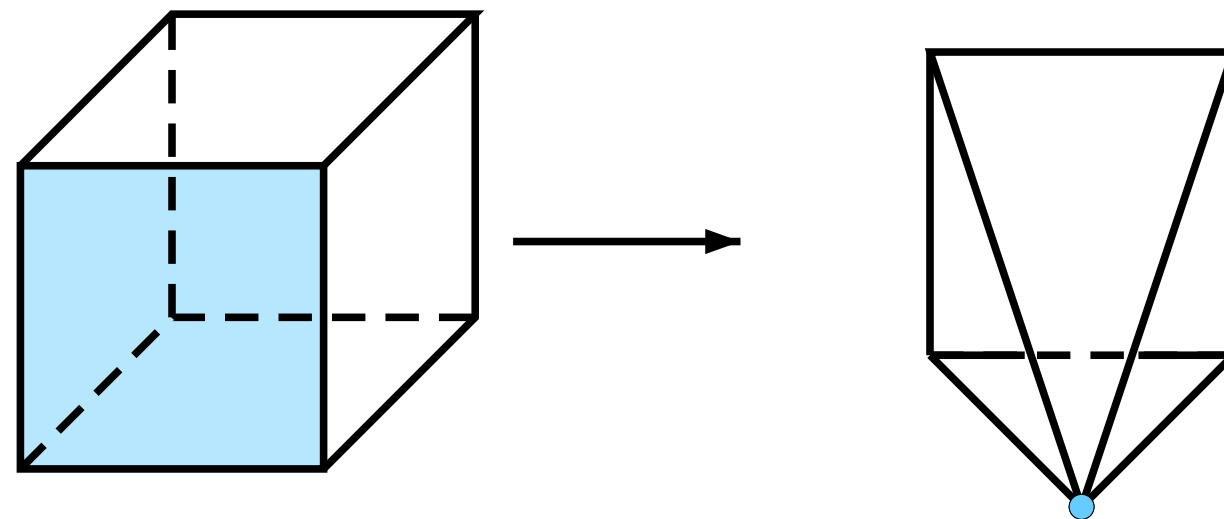
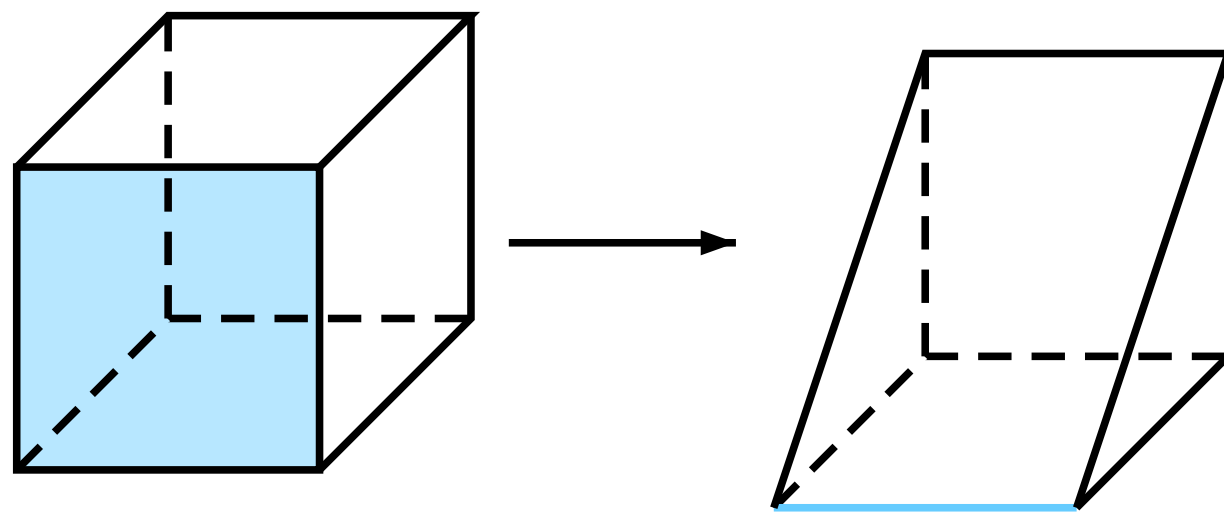
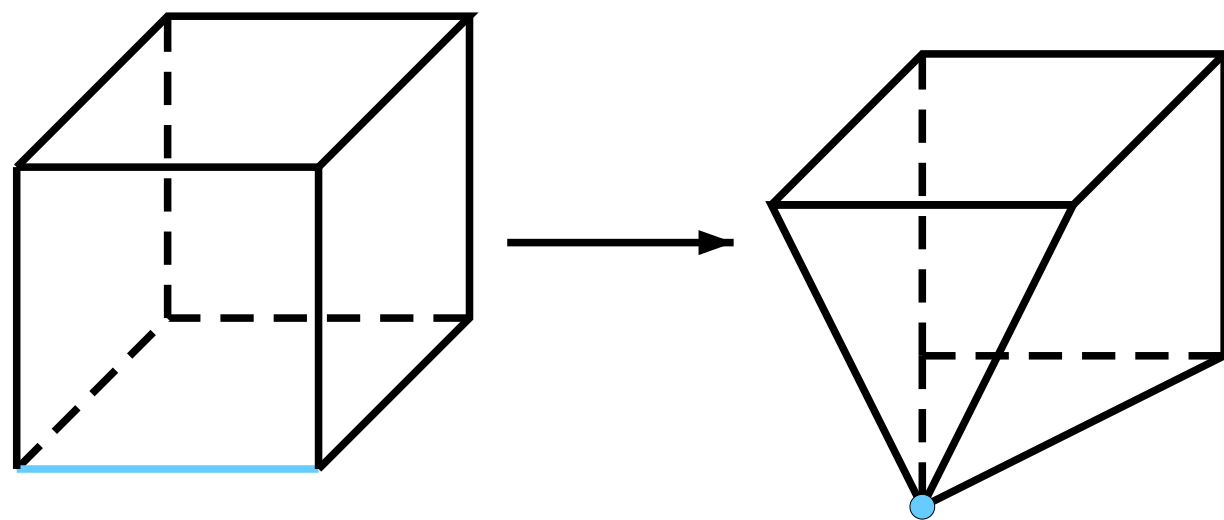
Compare a string theory compactified on a CY  $X$  at a point  $p_1$  in its moduli space with the theory at a point  $p_0$ . Denote the (geodesic) moduli space distance by  $d$ .

Then, the theory at  $p_1$  has an infinite number of light particles, with mass starting at the order  $m \sim e^{-\alpha d}$  with  $\alpha = \mathcal{O}(1)$  in Planck units.

# Outline

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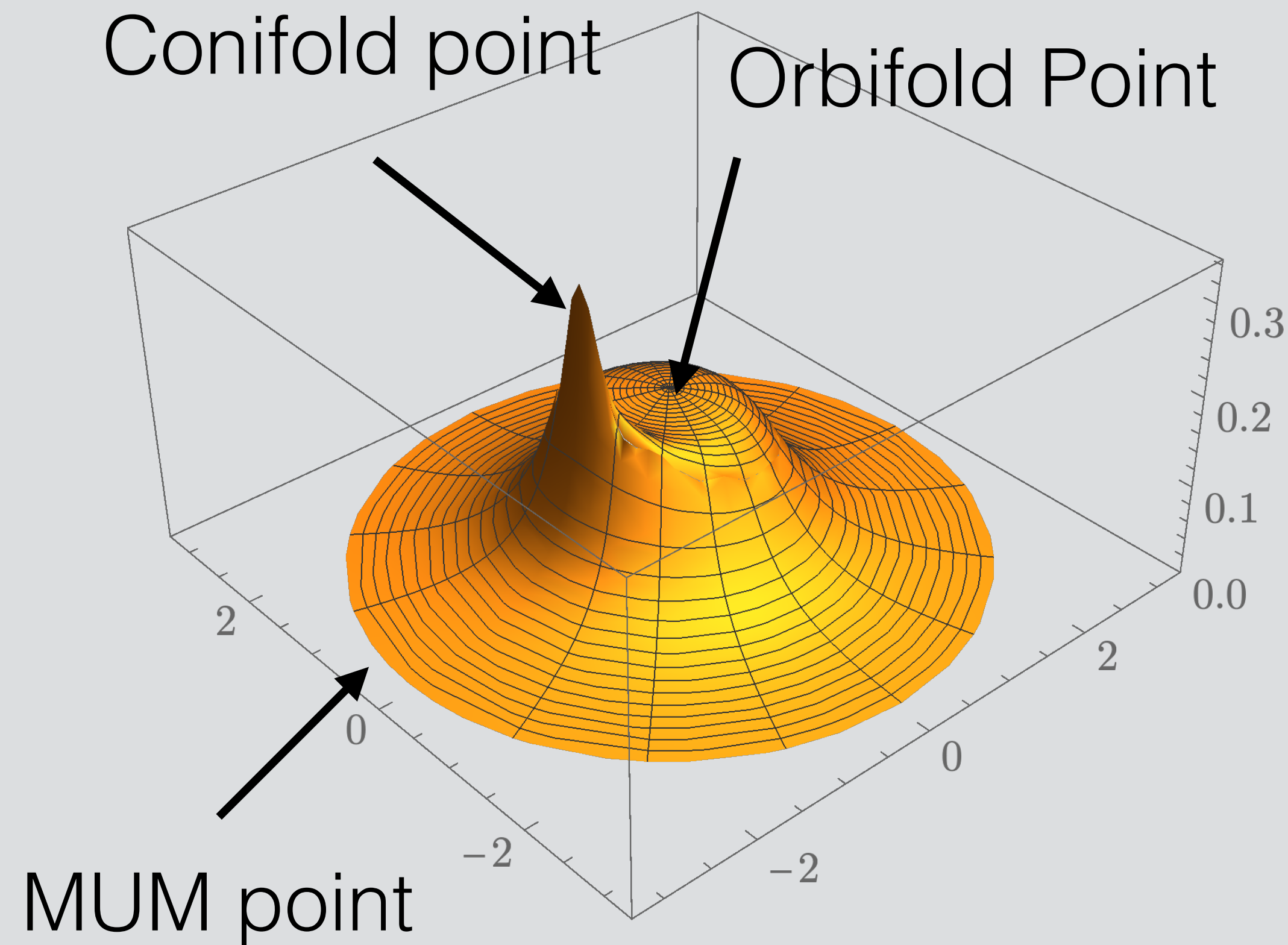
- Structure of moduli spaces
- Massive towers and the Swampland Distance Conjecture
- The Kawamata-Morrison and the Swampland Distance Conjecture
- Conclusions



# Structure of Moduli Spaces

# The CS moduli space

## Special points in CS moduli space



- ▶ Metric for 1-parameter quintic

$$z_0^5 + z_1^5 + z_2^5 + z_3^5 + z_4^5 - 5\psi z_0 z_1 z_2 z_3 z_4 = 0$$

- ▶ Degenerations classified via LMHS

[Grimm,Li,Palti `18; Grimm,Palti,Valenzuela `18; Blumenhagen,Kläwer, Schlechter `18; Grimm,FR,van de Heisteeg `19; Joshi,Klemm `19]

- ▶ Can be computed from the periods  
hypergeometric Picard-Fuchs system)

[Candelas,De La Ossa,Green,Parkes `91]

- ▶ Solve geodesic equation

$$\ddot{\gamma}(\tau) + \Gamma_{ab}^c \dot{\gamma}^a(\tau) \dot{\gamma}^b(\tau) = 0$$

- ▶ Compute geodesic distances

$$d(p_1, p_2) = \int_{\tau_1}^{\tau_2} d\tau \sqrt{g_{a\bar{b}}(\gamma(\tau)) \dot{\gamma}^a(\tau) \dot{\gamma}^b(\tau)}$$

# The Kähler moduli space

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- Kähler cone = cone of Kähler forms. All volumes are  $> 0$

$$\text{vol}(CY) = \int_X J^3 = \kappa_{ijk} t^i t^j t^k, \quad \text{vol}(D_a) = \int_X J^2 D_a = \kappa_{ija} t^i t^j, \quad \text{vol}(C_{ab}) = \int_X J D_a D_b = \kappa_{iab} t^i$$

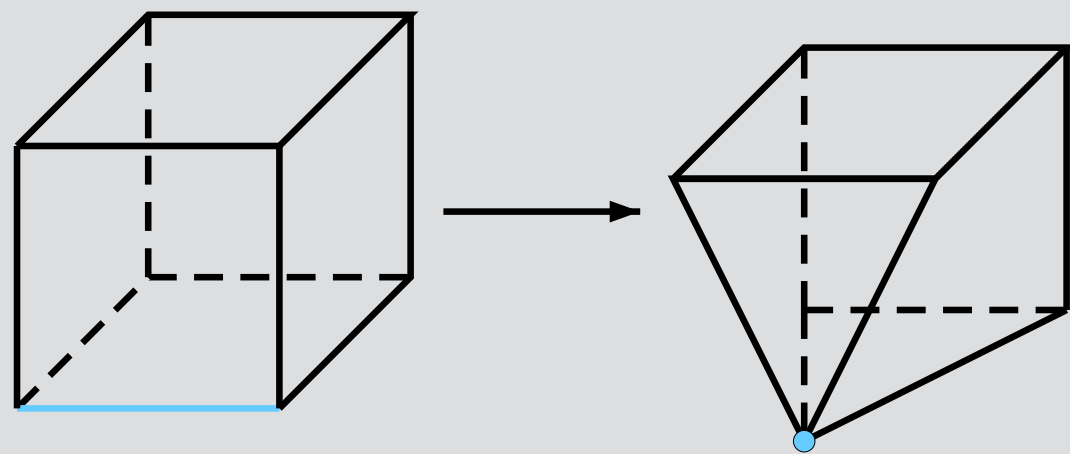


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- ▶ At the wall of the KC, some volumes  $\rightarrow 0$ : “primitive contractions”



## **Flop wall:**

Curve collapses to a point

$$\text{vol}(C) \rightarrow 0$$

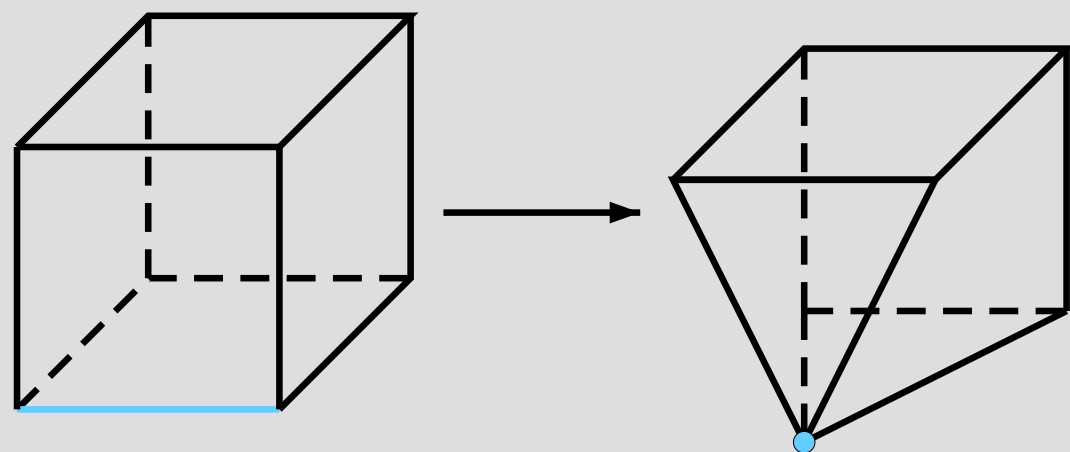
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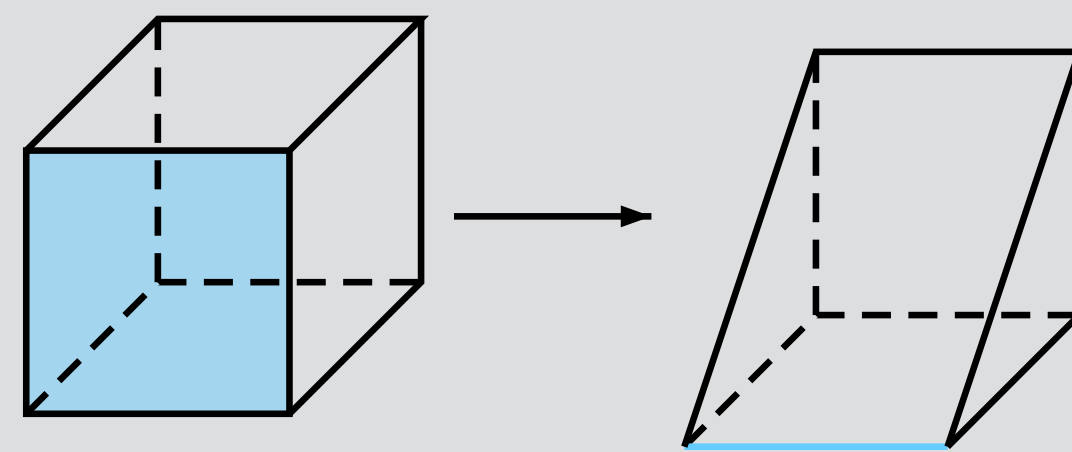


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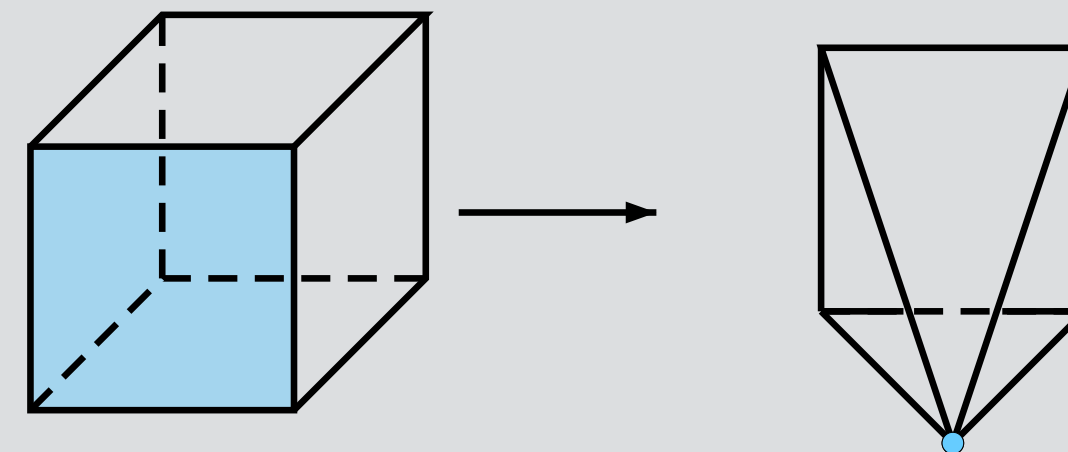
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## Zariski wall (a):

Divisor collapses to a curve,  
in general unclear how to go  
beyond

$$\text{vol}(D) \rightarrow 0, \text{vol}(X) > 0$$



## Zariski wall (b):

Divisor collapses to a point,  
unclear how to go beyond

$$\text{vol}(D) \rightarrow 0, \text{vol}(X) > 0$$

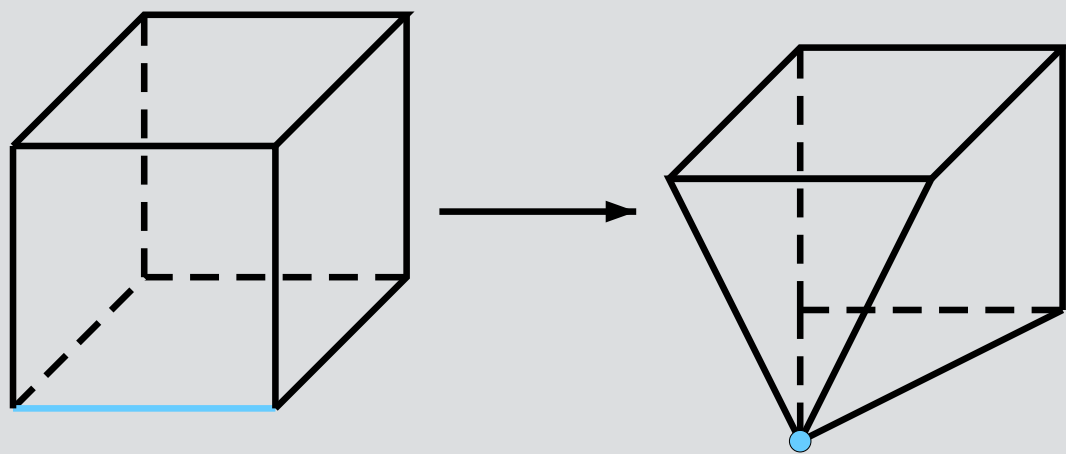


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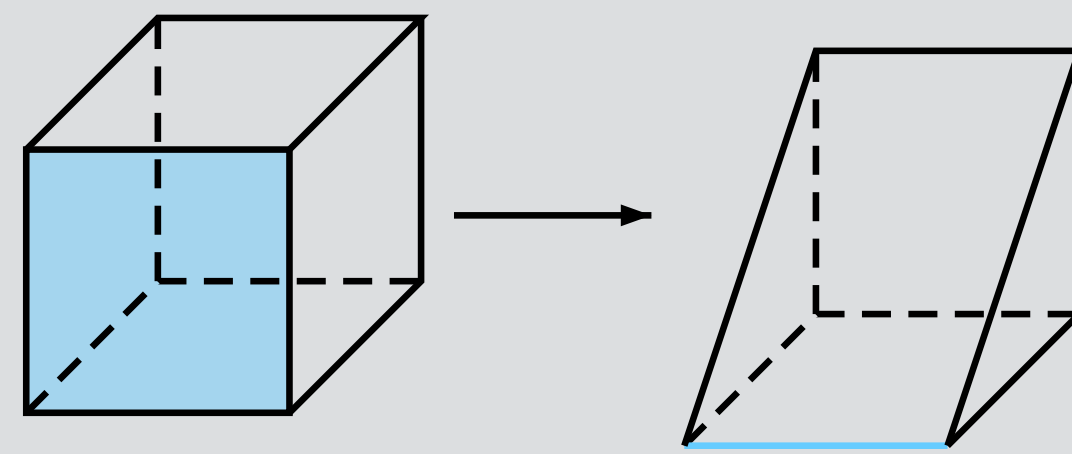


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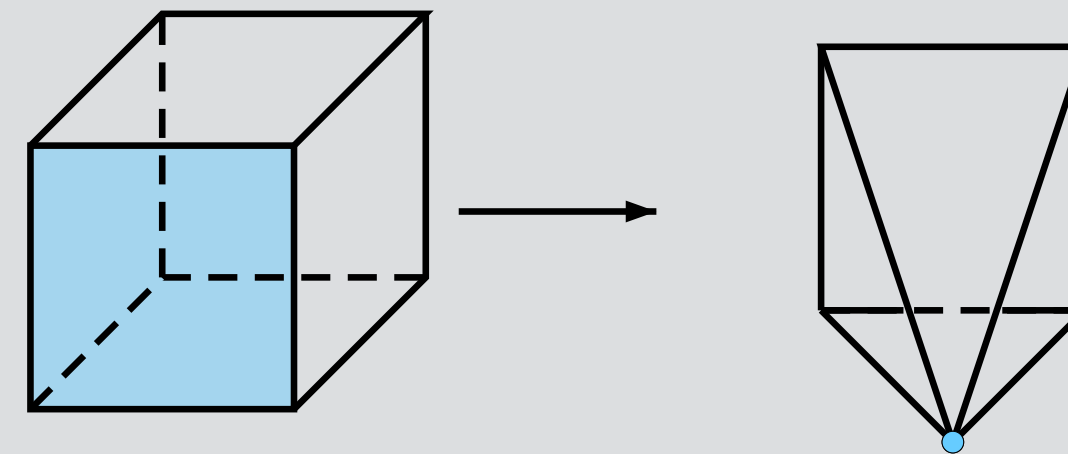
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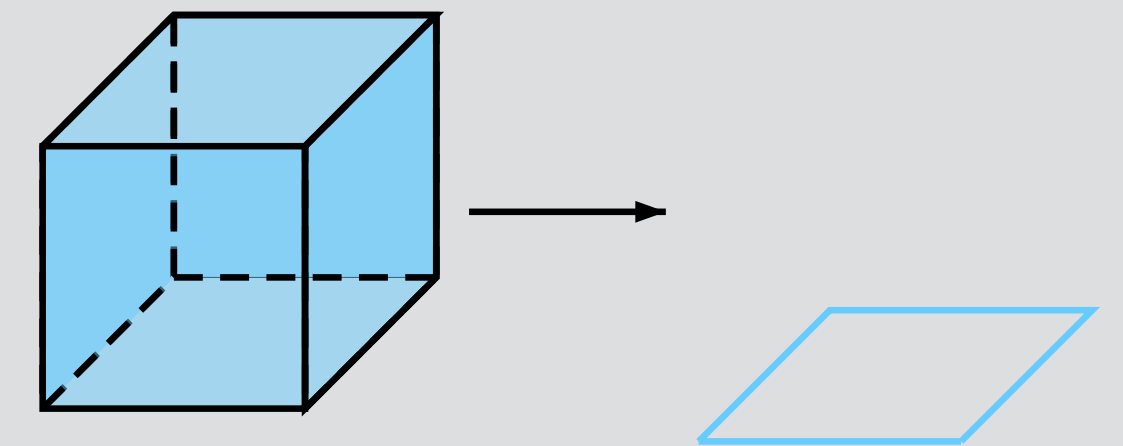
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## Zariski wall (b):

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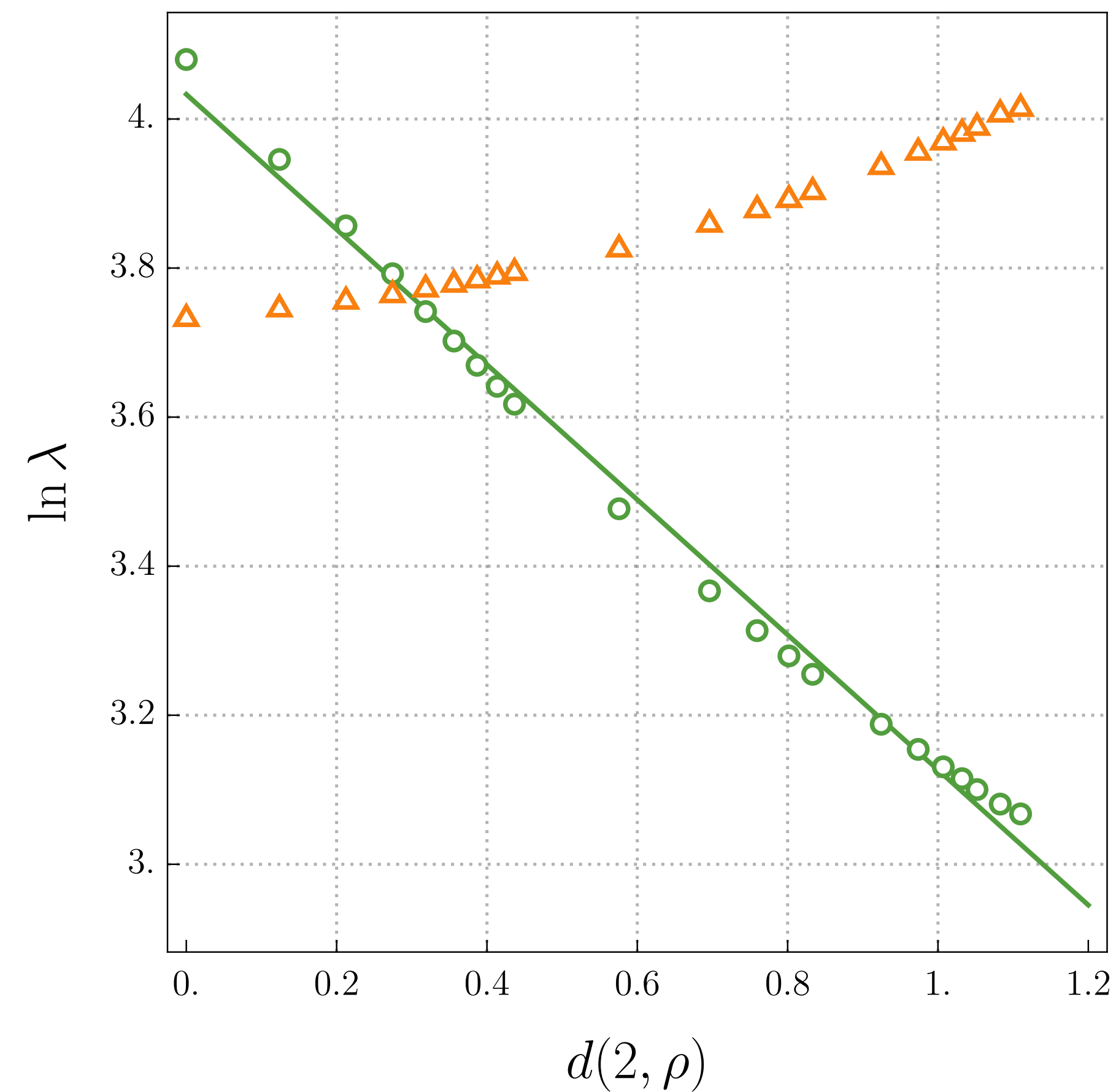
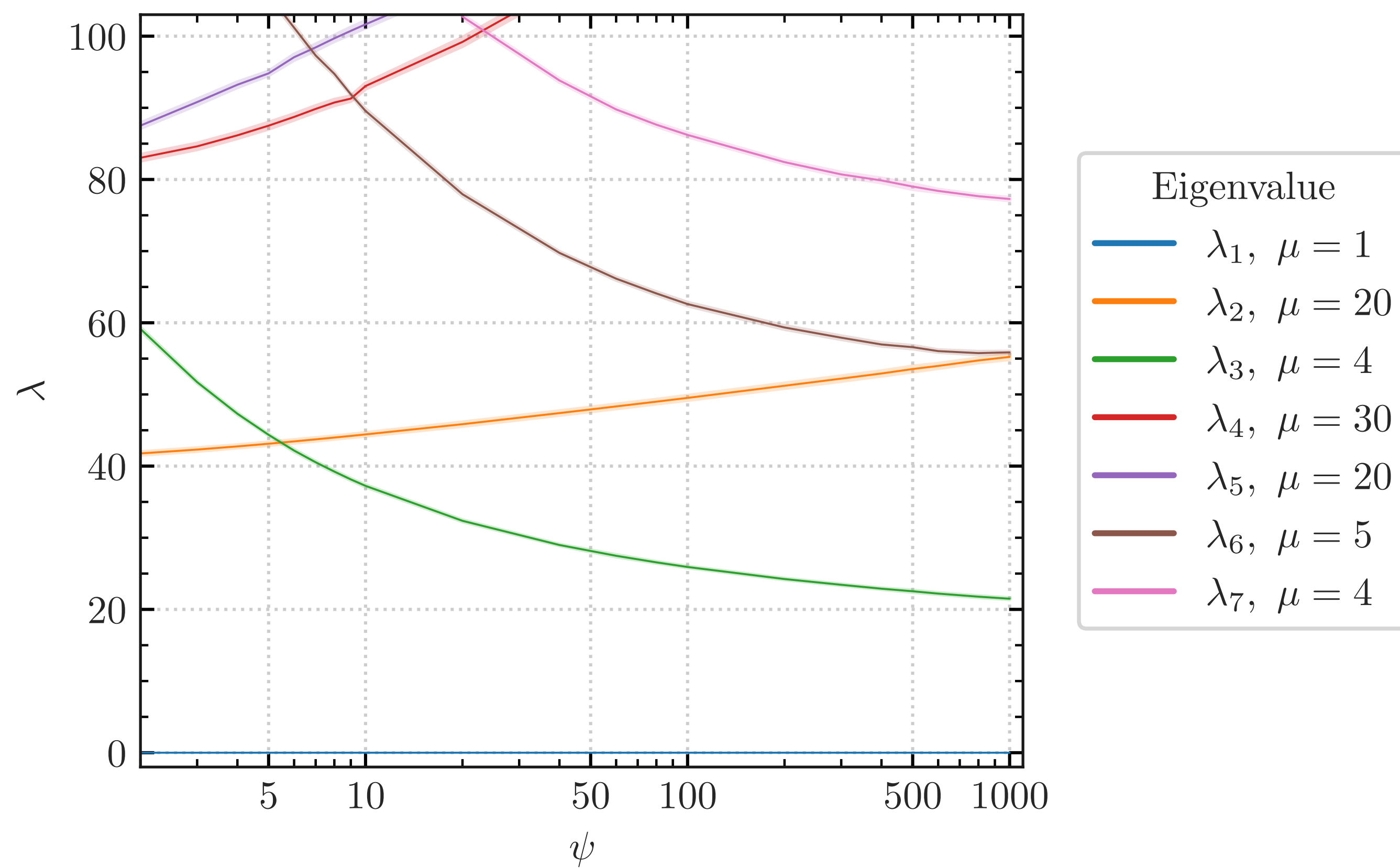
$$\text{vol}(D) \rightarrow 0, \text{vol}(X) > 0$$



## Effective cone wall:

CY collapses, KC ends

$$\text{vol}(X) \rightarrow 0$$



# Massive Towers and the SDC

# Compute massive KK states (schematically)

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► Starting point: **10D KG equation**  $\Delta_{10D} \Phi_{10D} = 0$        $\Delta_{10D} \sim g^{MN} \partial_M \partial_N$

► Now decompose

$$\Delta_{10D} = (\Delta_{4D}; \square_{6D}) \quad \Phi_{10D} = (\phi_{4D}; \varphi_{6D}) \quad g^{MN} = (g^{\mu\nu}; g^{ab})$$

► Use **6D eigenfunctions** of d'Alembertian

$$\square_{6D} \varphi_{6D} = \lambda \varphi_{6D} \quad \square = d\delta + \delta d = \sqrt{\det(g)}^{-1} \partial_a \sqrt{\det(g)} g^{ab} \partial_b$$

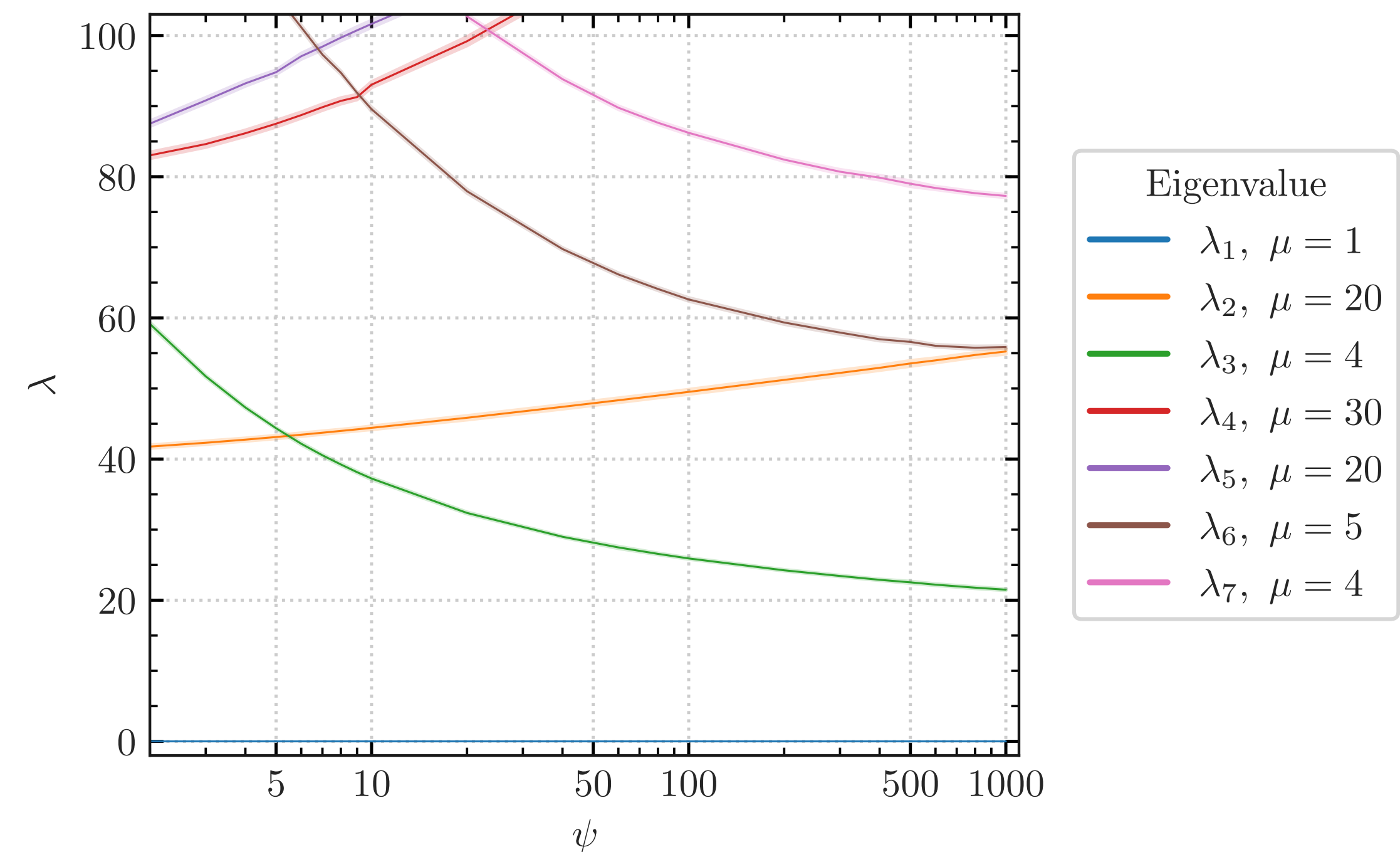
► Get **4D KG equation**  $(\Delta_{4D} + \lambda) \phi_{4D} = 0$        $m^2 \sim \lambda$

► Can compute CY metric using machine learning [\[see Andre's talk\]](#)

[\[Ashmore, He, Ovrut `19; Anderson, Gerdes, Gray, Krippendorf, Raghuram, FR `20; Douglas, Lakshminarasimhan, Qi `20; Jejjala, Mayorga Pena, Mishra `20; Larfors, Lukas, FR, Schneider `21 `22\]](#)

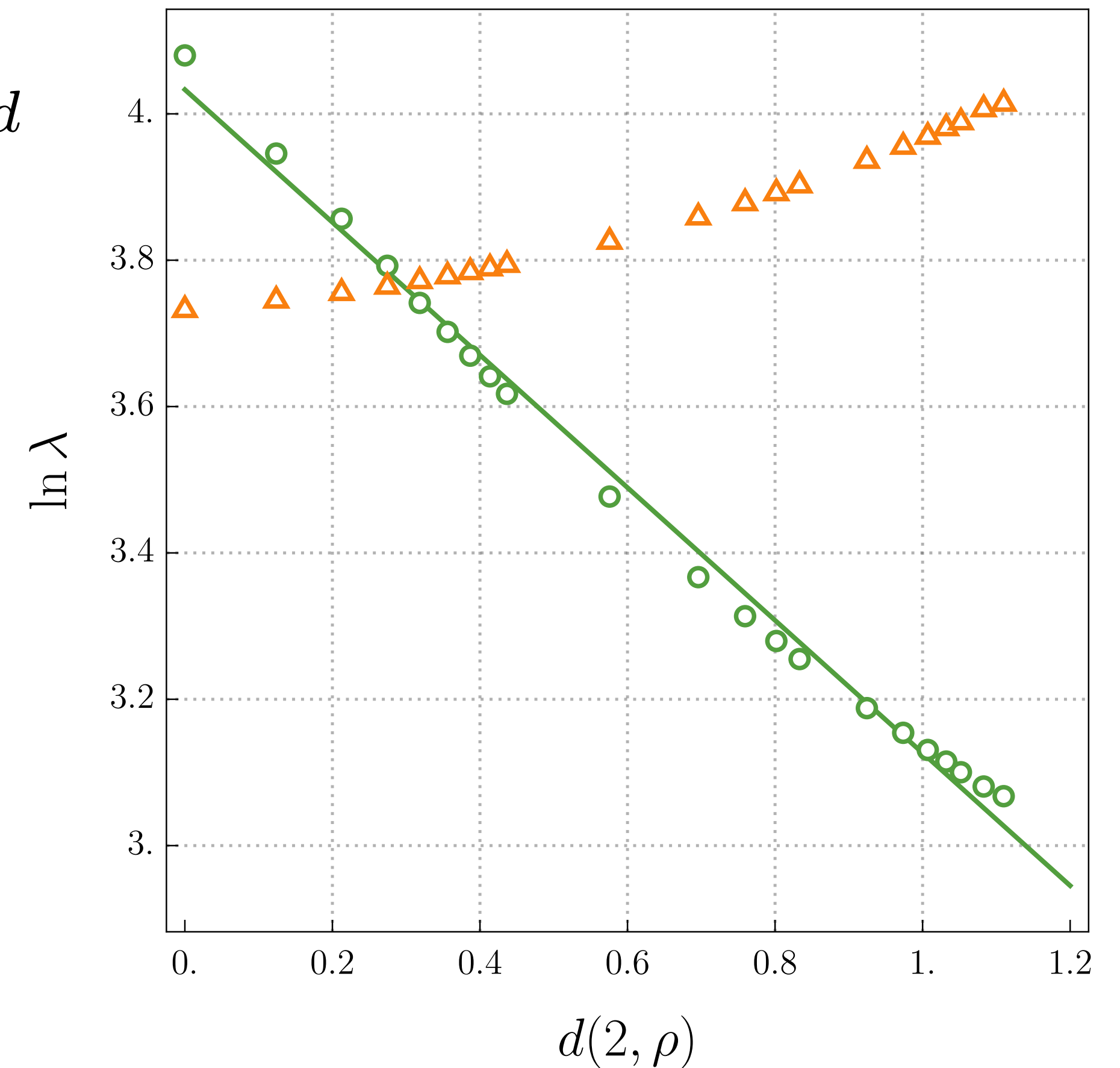
# Massive KK states I

- ▶ One massless scalar ( $\psi$ )
- ▶ **Spectrum degenerate** w/ degeneracies given by **irreps of CY symmetry group**
- ▶ **Eigenvalues** (mass levels) **cross** in contrast (but not contradiction) to
  - No-crossing theorems in QM
  - Eigenvalue repulsion in RMT for hermitian matrices
- ▶ **States** with **large multiplicity** become **heavier**, states with **small multiplicity** become **lighter**

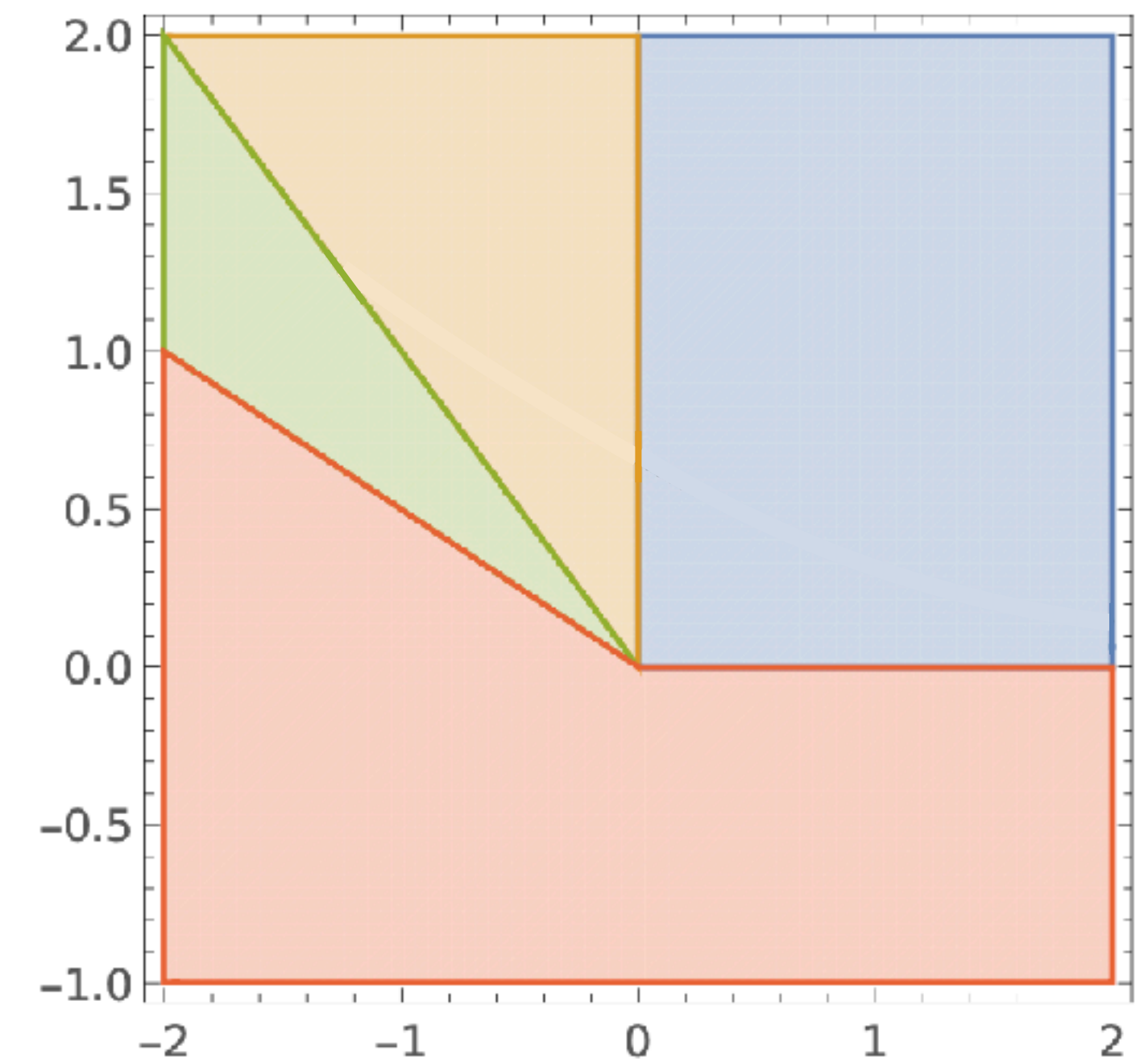
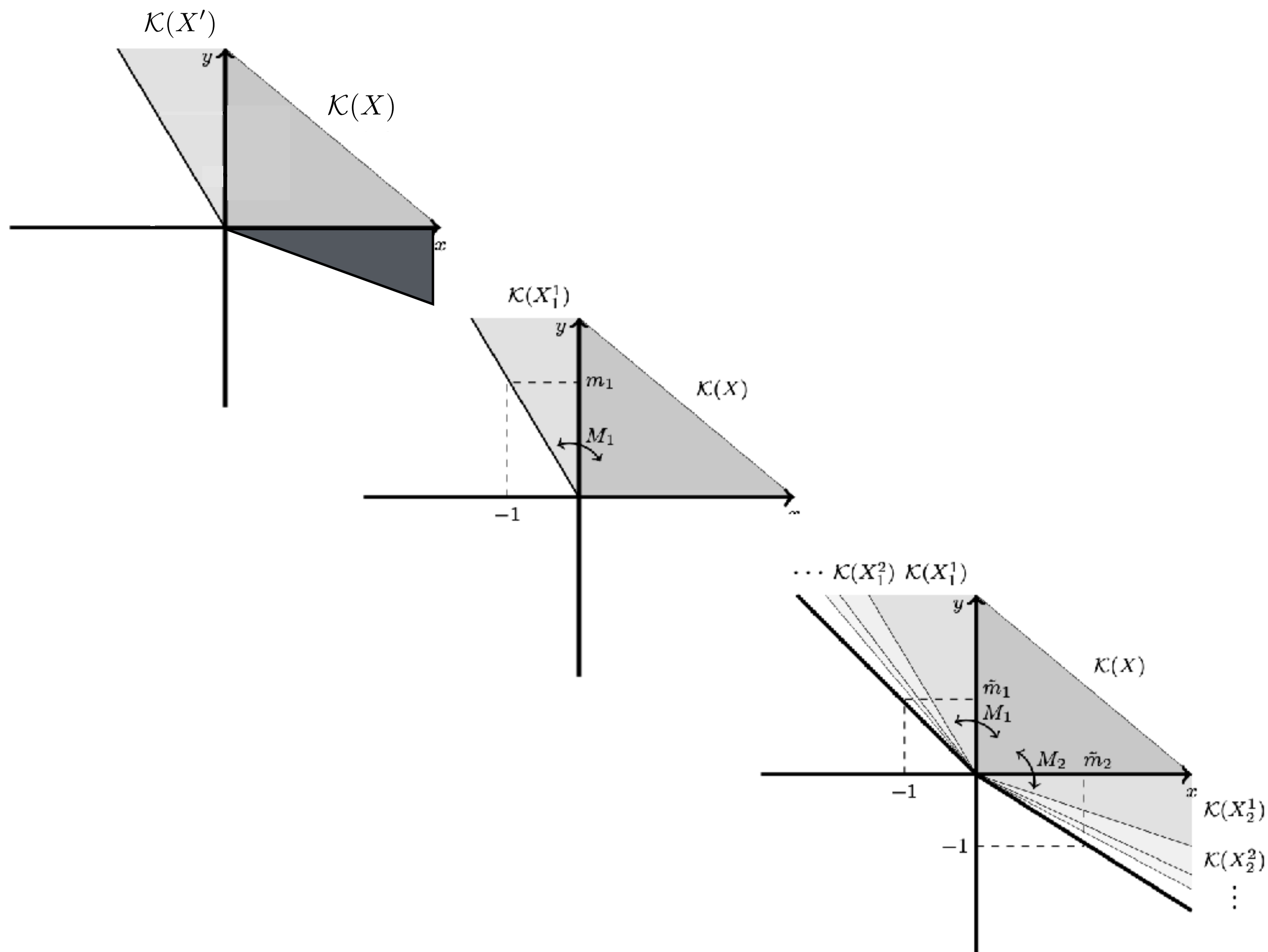


# Massive KK states II

- ▶ **Scalars** become **exponentially light**
- ▶ Best fit gives  $m = \lambda^{1/2} = 7.5e^{-(0.45 \pm 0.02)d}$
- ▶ First numerical check of the SDC
- ▶ Value very close to conjectured behavior  $\alpha = 1/\sqrt{6}$  [see Muldrow's talk]  
[Andriot, Cribiori, Erkiner '20;  
Etheredge, Heidenreich, Kayab, Qiua, Rudelius '22]
- ▶ Interesting effect at sub-Planckian distances: mass of lightest state does not change for  $.3 M_P$





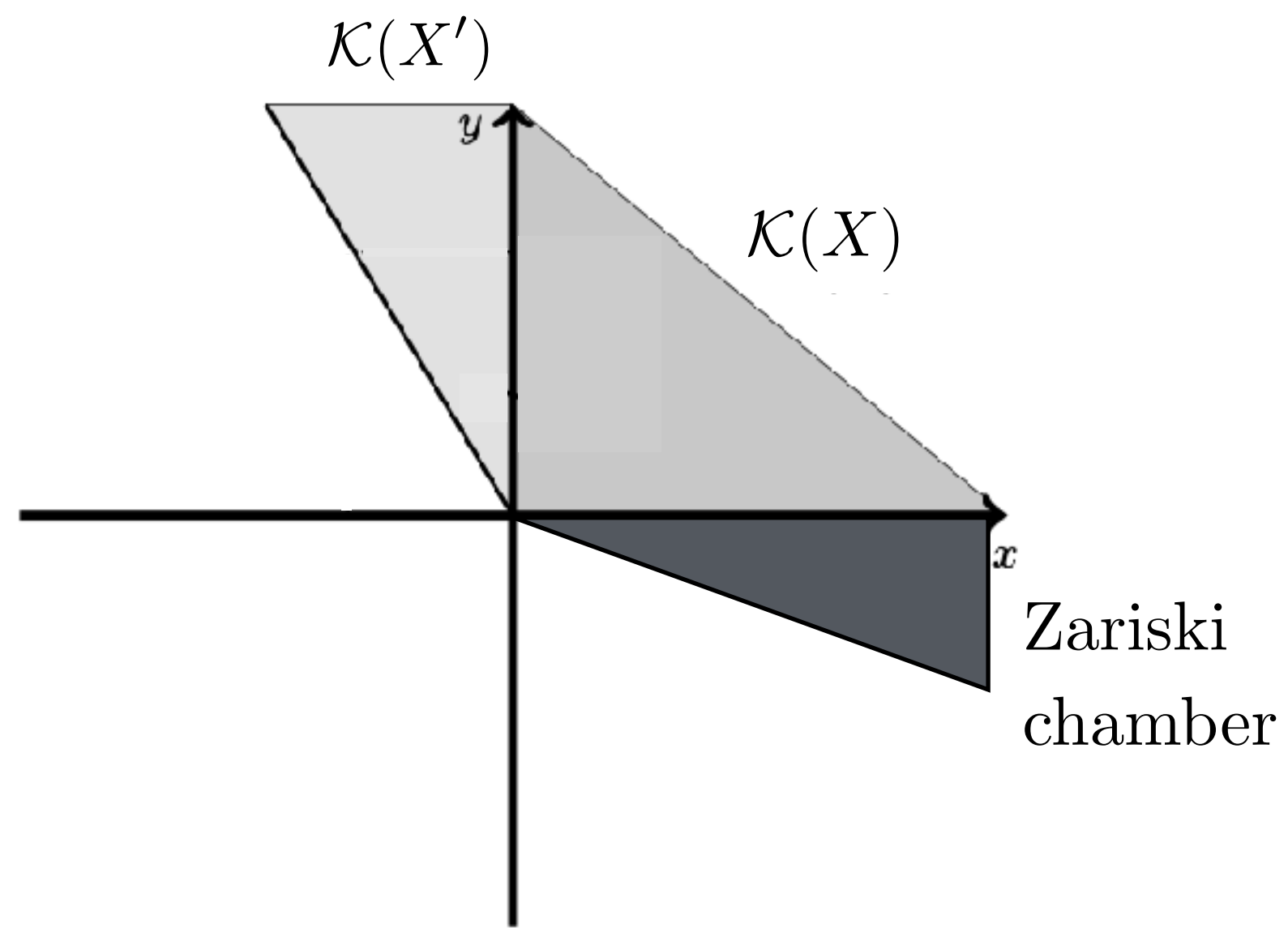


# Flops and Kähler Cones



# CYs related by flops

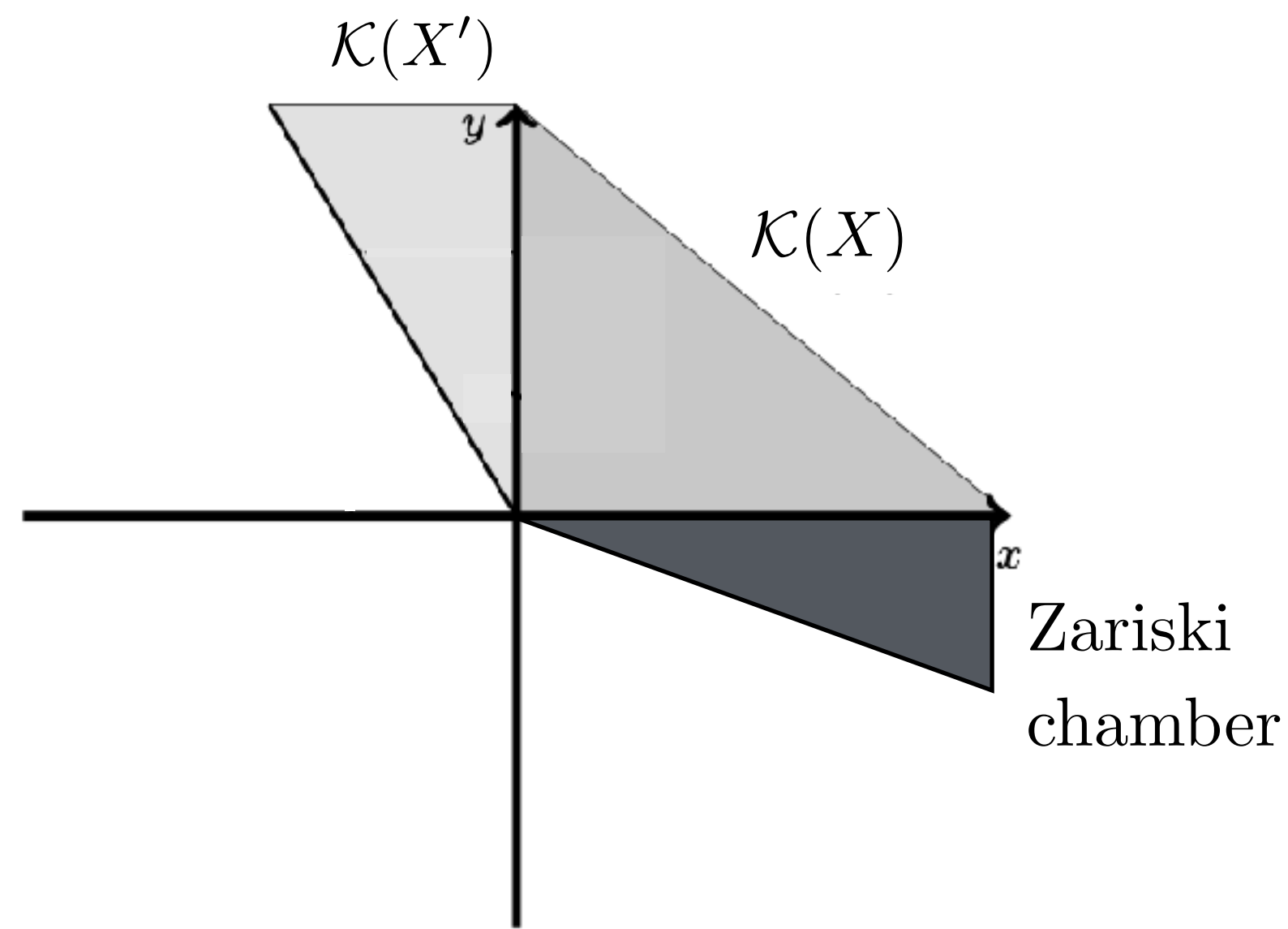
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## Examples 1:

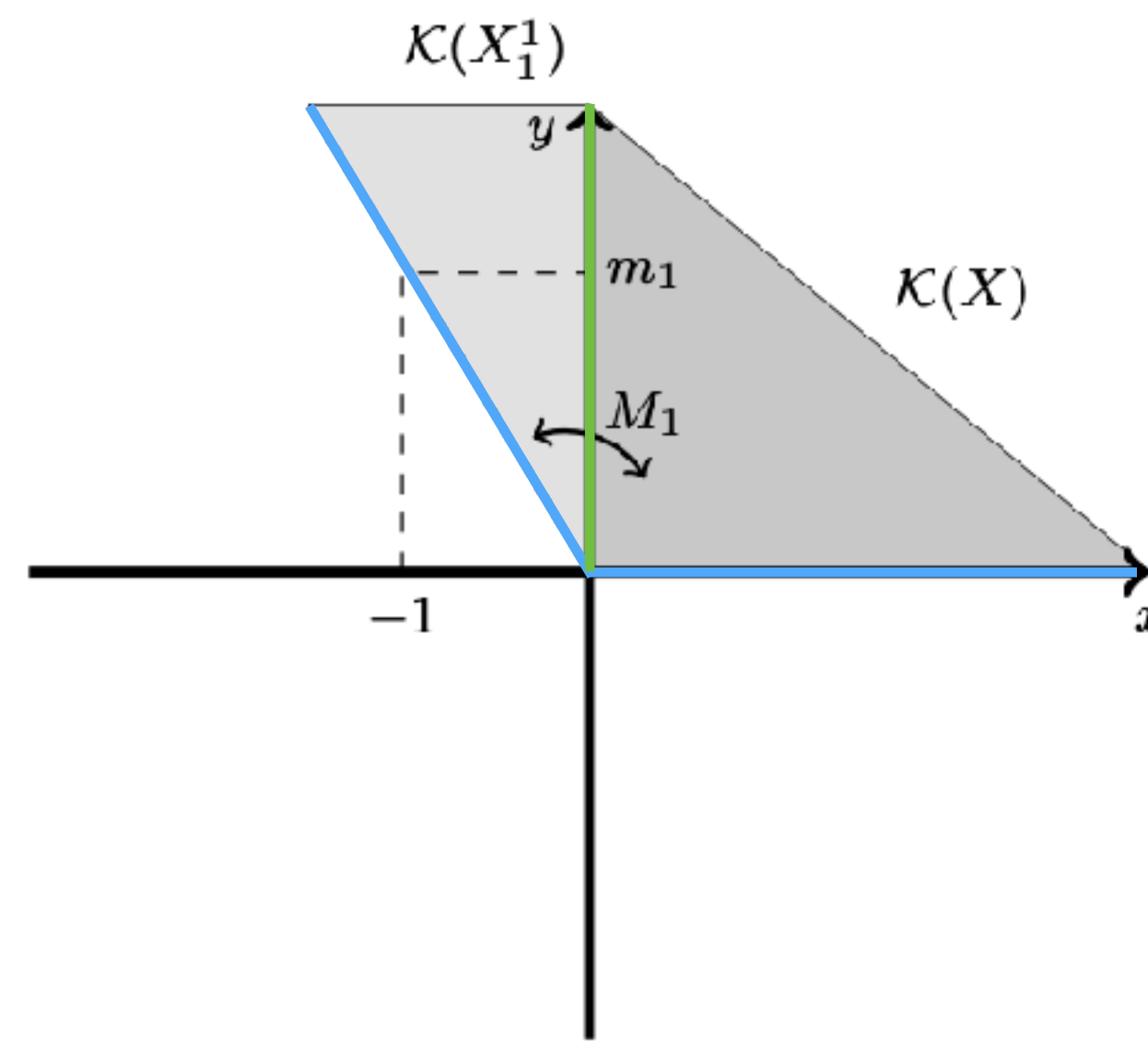
- Can flop to a non-isomorphic CY on one side
- KC ends in Zariski chamber on other side
- KC ends beyond  $X'$

# CYs related by flops



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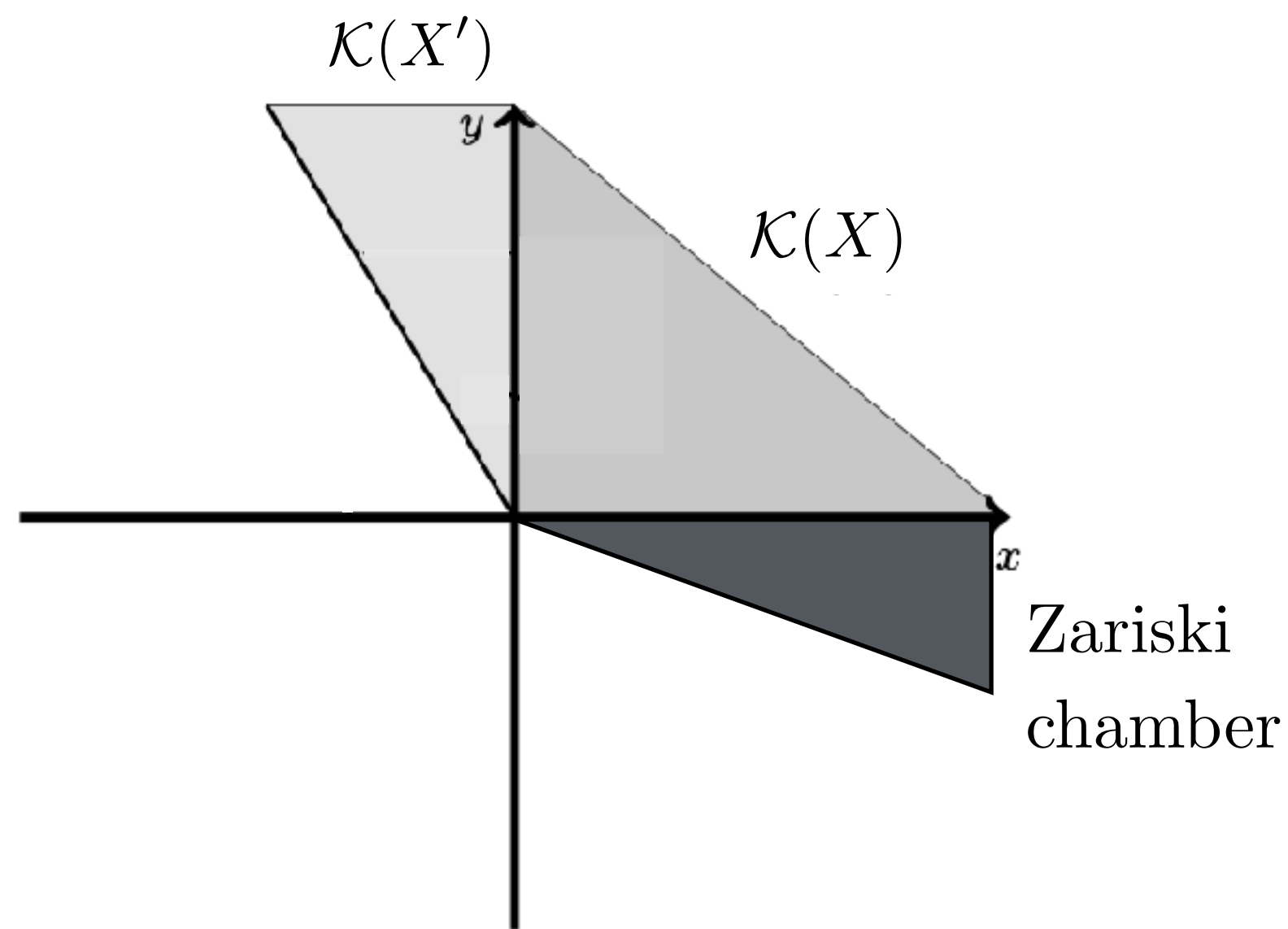
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## Example 2:

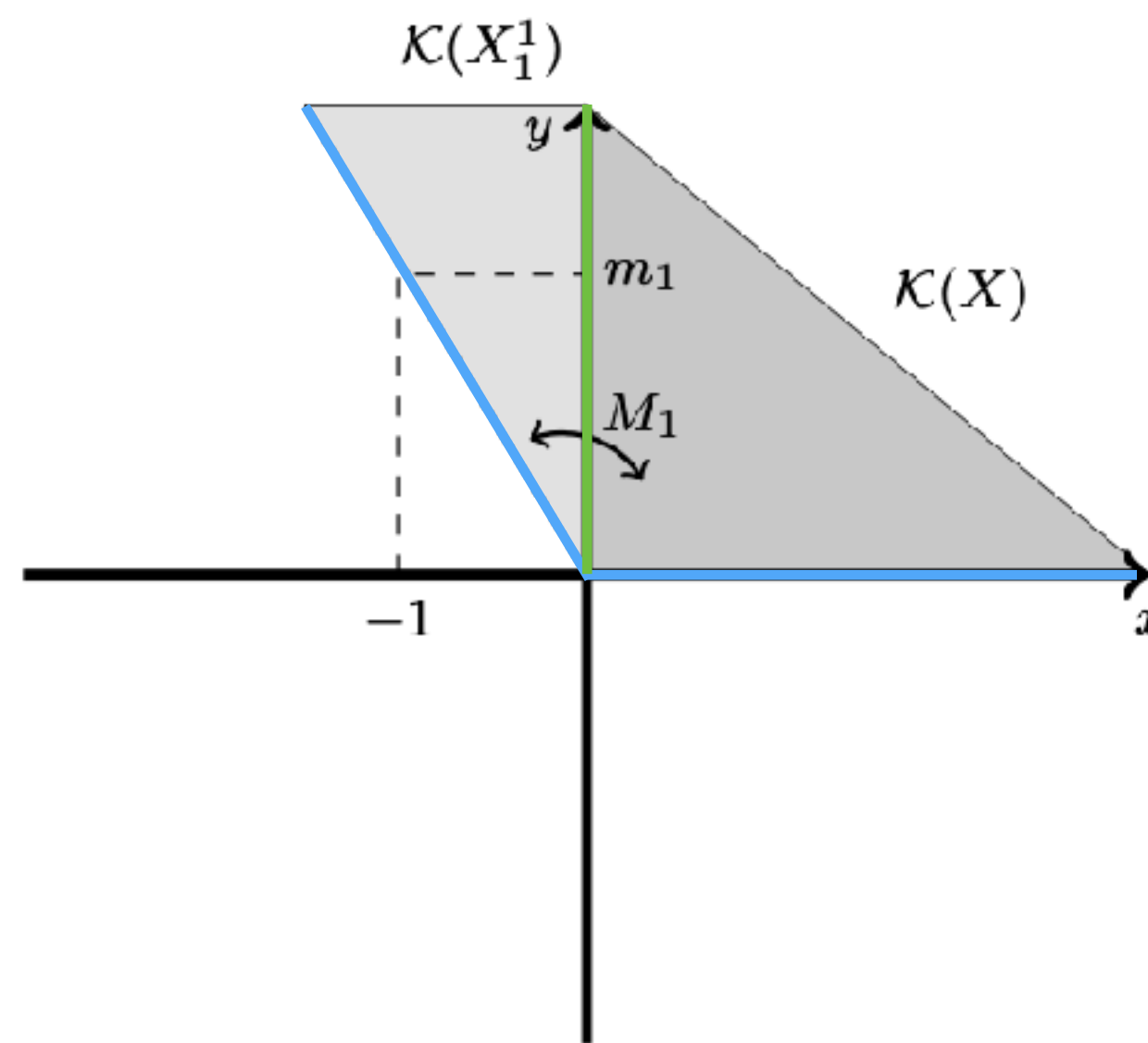
- Can flop ("reflect") to an isomorphic CY on one side
- KC ends on other side
- KC ends beyond  $X_1^1$

# CYs related by flops



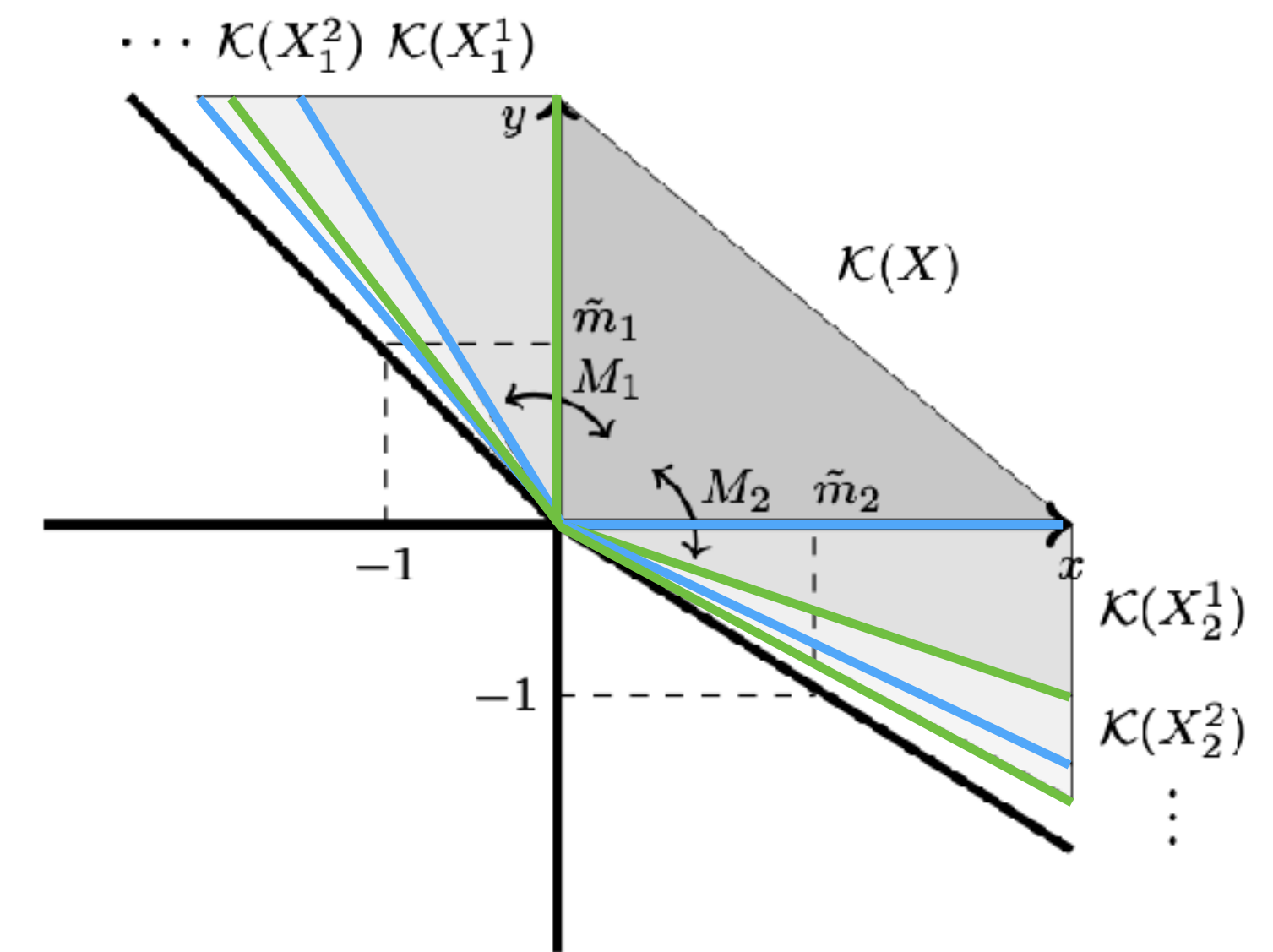
## Examples 1:

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## Example 2:

- Can flop (“reflect”) to an isomorphic CY on one side
- KC ends on other side
- KC ends beyond  $X_1^1$



### Example 3:

- Can flop (“reflect”) to an isomorphic CY on both sides
- Repeating this gives infinitely many flops
- KC ends after infinitely many CYs

# Finding the reflections

- ▶ The matrices  $M$  that map between two isomorphic CYs  $X$  and  $X'$  can be constructed explicitly

$$\left[ \begin{array}{c|cccccc} \mathbb{P}^n & 1 & 1 & \dots & 1 & 0 & \dots & 0 \\ \hline \vec{\mathbb{P}} & \vec{q}_1 & \vec{q}_2 & \dots & \vec{q}_{n+1} & \vec{q}_{n+2} & \dots & \vec{q}_K \end{array} \right]$$

$$M = \begin{pmatrix} -1 & \vec{0}^T \\ \sum_{k=1}^{n+1} \vec{q}_k & \mathbb{1} \end{pmatrix}$$

$$\left[ \begin{array}{c|cccccc} \mathbb{P}^n & 2 & 1 & \dots & 1 & 0 & \dots & 0 \\ \hline \vec{\mathbb{P}} & \vec{p}_1 & \vec{p}_2 & \dots & \vec{p}_n & \vec{p}_{n+1} & \dots & \vec{p}_K \end{array} \right]$$

$$M = \begin{pmatrix} -1 & \vec{0}^T \\ 2\vec{q}_1 + \sum_{k=2}^n \vec{q}_k & \mathbb{1} \end{pmatrix}$$

- ▶ They depend on the Gopakumar-Vafa invariants and the intersection numbers, e.g.

$$M = \begin{pmatrix} -1 & 0 \\ m & 1 \end{pmatrix} \quad m = \frac{2d_{122}}{d_{222}} \quad n_{[C]} + 8n_{[2C]} = 2d_{111} - 3md_{112} + m^2d_{122}$$

# Some Group Properties

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- ▶ For ease of exposition, let us focus on isomorphic flops along two KC boundaries

- ▶ The matrices  $M_1$  and  $M_2$  generate a group with presentation

$$G = \langle M_1, M_2 \mid M_1^2 = M_2^2 = 1 \rangle \sim \mathbb{Z}_2 \star \mathbb{Z}_2 \sim \mathbb{Z}_2 \rtimes \mathbb{Z}$$

- ▶ Let us define  $S = M_1$ ,  $T = M_1 M_2$

- ▶ Then any word can be written as  $w = T^n S^m$ ,  $m \in \mathbb{Z}_2$ ,  $n \in \mathbb{Z}$

- ▶ In particular:  $T^{-n} = (T^n)^{-1}$ ,  $ST^{-n} = T^n S$

- ▶ Boundary slopes:

$$\beta_1 = \lim_{n \rightarrow \infty} \frac{c(n)}{a(n)} = \lim_{n \rightarrow \infty} \frac{d(n)}{b(n)} = -\frac{2}{m_2 + \sqrt{\frac{m_2}{m_1}(m_1 m_2 - 4)}} \quad \beta_2 = -\frac{2}{m_1 + \sqrt{\frac{m_1}{m_2}(m_1 m_2 - 4)}}$$



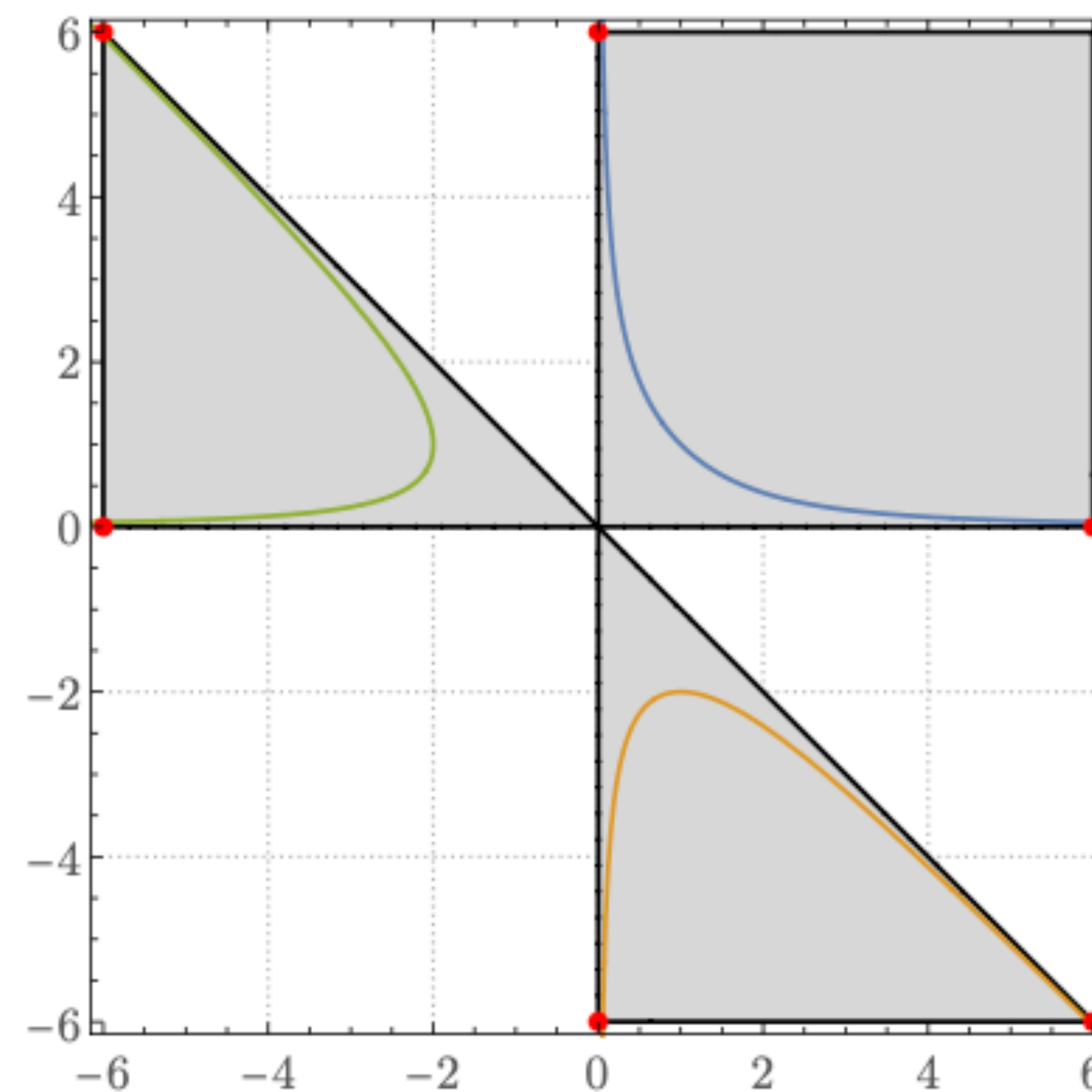
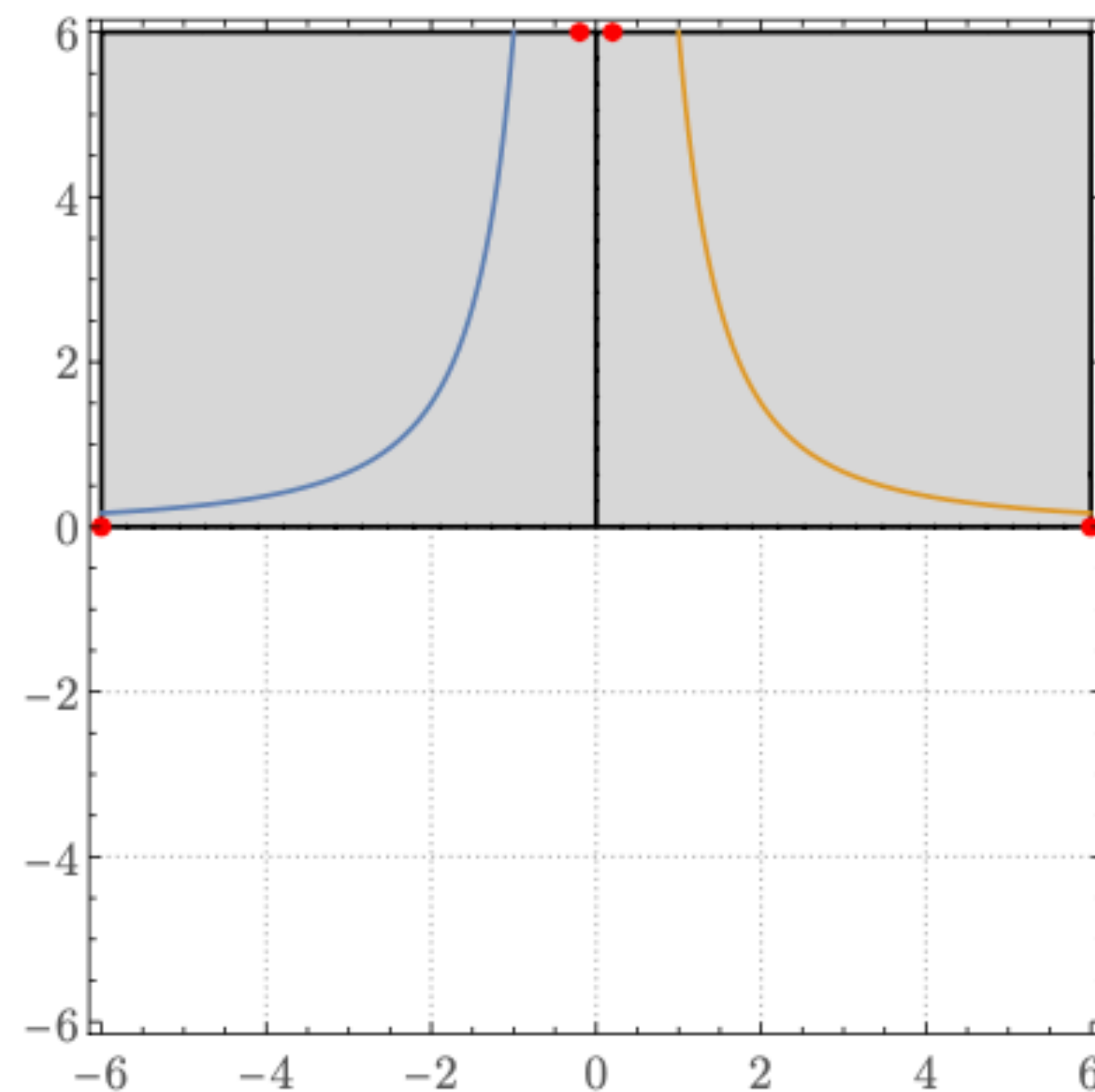
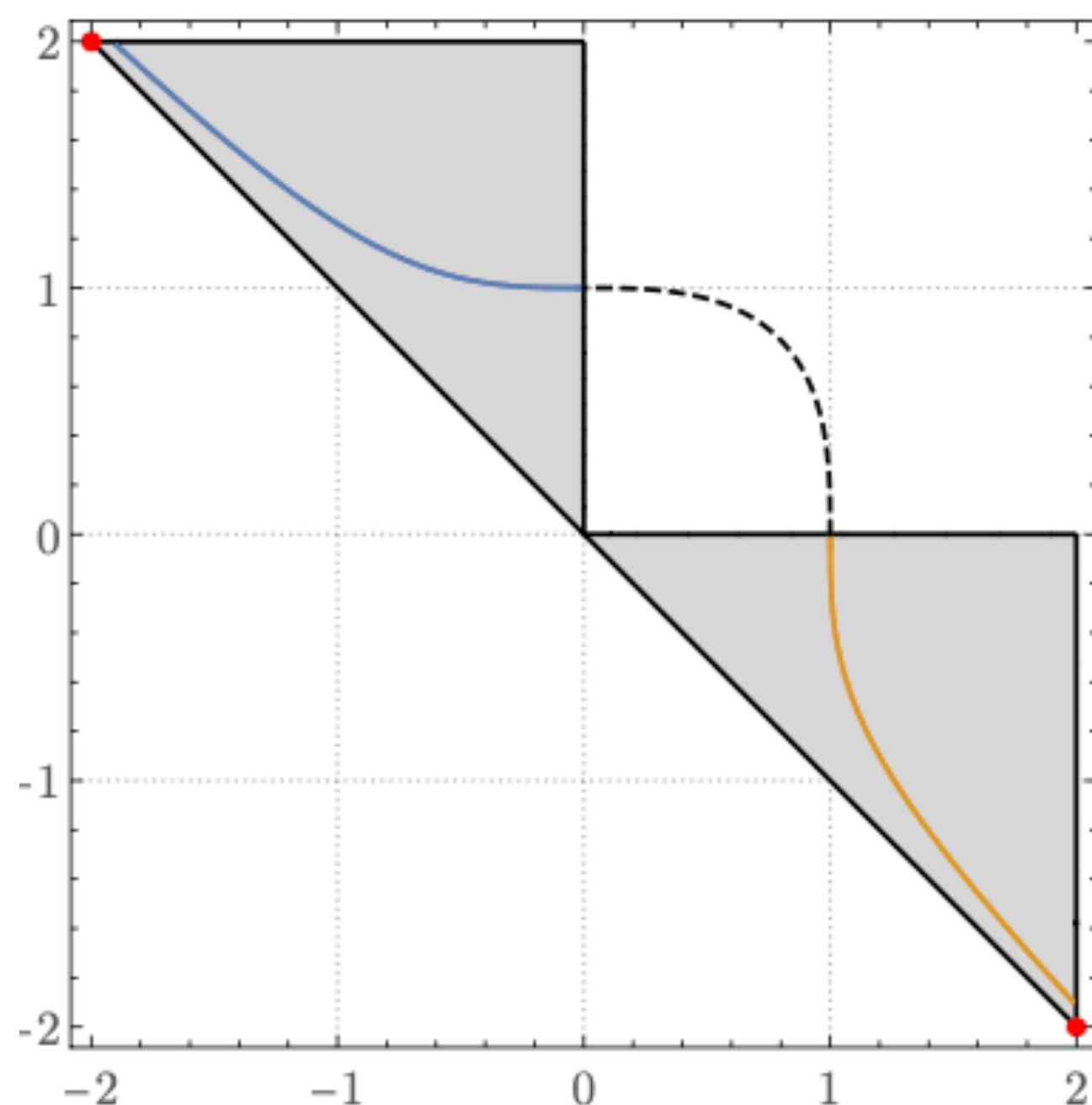
# Geodesics of Picard rank 2

case	normal form $\hat{\kappa}$	$(\text{cl}(\kappa), \text{rk}(\varphi))$	metric $\hat{G}$	$\mathcal{K}(X)$ contained in
0	$x^3$	(1,1)	$\frac{1}{x^2} \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$	$\{\}$
1	$x^3 + y^3$	(1,2)	$\frac{1}{\kappa^2} \begin{pmatrix} x^4 - 2xy^3 & 3x^2y^2 \\ 3x^2y^2 & y^4 - 2x^3y \end{pmatrix}$	$\{x < 0, x + y > 0\}$ $\cup \{y < 0, x + y > 0\}$
2	$x^2y$	(2,2)	$\begin{pmatrix} \frac{2}{3x^2} & 0 \\ 0 & \frac{1}{3y^2} \end{pmatrix}$	$\{x \neq 0, y > 0\}$
3	$x^2y + xy^2$	(3,2)	$\frac{1}{3} \begin{pmatrix} \frac{1}{x^2} + \frac{1}{(x+y)^2} & \frac{1}{(x+y)^2} \\ \frac{1}{(x+y)^2} & \frac{1}{y^2} + \frac{1}{(x+y)^2} \end{pmatrix}$	$\{x > 0, y > 0\}$ $\cup \{x > 0, x + y < 0\}$ $\cup \{y > 0, x + y < 0\}$

$$x = -\sqrt[3]{\sinh^2(r_k(s))}, \quad y = \sqrt[3]{\cosh^2(r_k(s))}, \quad r_k(s) = \sqrt{\frac{3}{8}}\varepsilon s + k$$

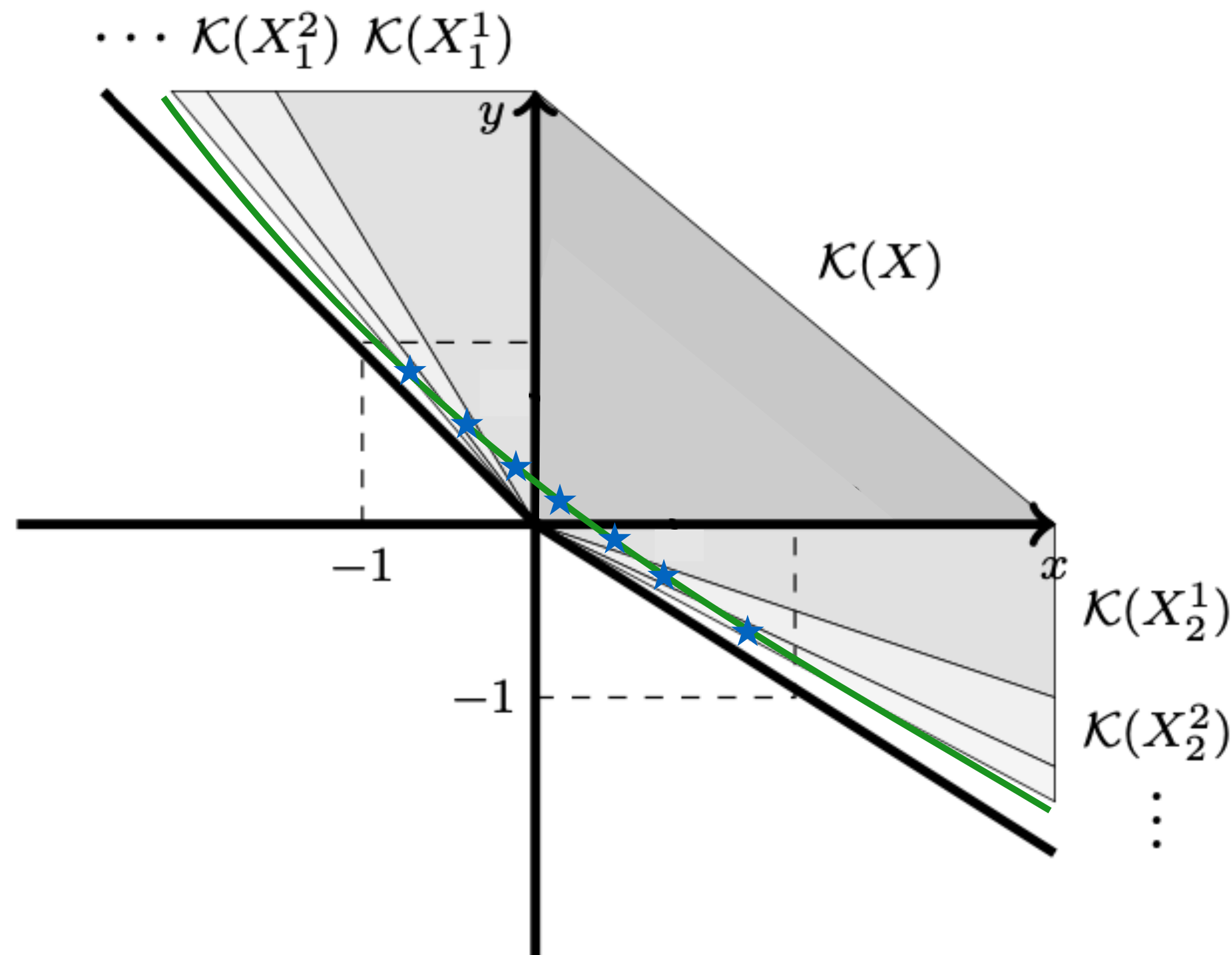
$$x = ke^{\varepsilon s}, \quad y = \frac{6}{k^2}e^{-2\varepsilon s}$$

$$x = \sqrt[3]{-2 + 6 \cosh(3\varepsilon s) \sin\left(\frac{1}{3} \arccos(\tanh(-3\varepsilon s))\right)}, \quad y_{\pm} = \frac{-x^2 \pm \sqrt{8x + x^4}}{2x}$$





# Swampland Conjectures & Infinite Flops



- ▶ All CYs at ★ are the same
- ▶ The geodesic distance between two ★ is  $\gtrsim \mathcal{O}(1)$
- ▶ By the Swampland Distance Conjecture, at each ★, a tower has come down by 1  $e$ -fold, but the CYs are the same! [Ooguri, Vafa '06]
- ▶ Moreover, what is the fate of the symmetry group  $G$ ? Is it global? [Misner, Wheeler '57; Banks, Seiberg '10]

$G$  is gauged (remnant of 11D diffeomorphisms)  $\rightarrow$  divide out. Then the theories at ★ are all identified, there is a single KC, and the shortest geodesic is 0.

[Brodie, Constantin, Lukas, FR: 2104.03325]

# Kawamata Morrison Cone conjecture

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- ▶ What if we had flop chains involving infinitely many non-isomorphic CYs?  
⇒ No gauged symmetry to save the day
- ▶ The KM cone conjecture states that the extended KC only consists of finitely many isomorphism classes, so this cannot occur if the KM is true  
[Morrison '94, Kawamata '97]
- ▶ Conversely, if there are infinitely many KCs (and the geodesic distance it takes to traverse each does not go to 0 rapidly), you would end up with a string theory somewhere in the bulk of moduli space with an arbitrarily light tower of states, which seems wrong

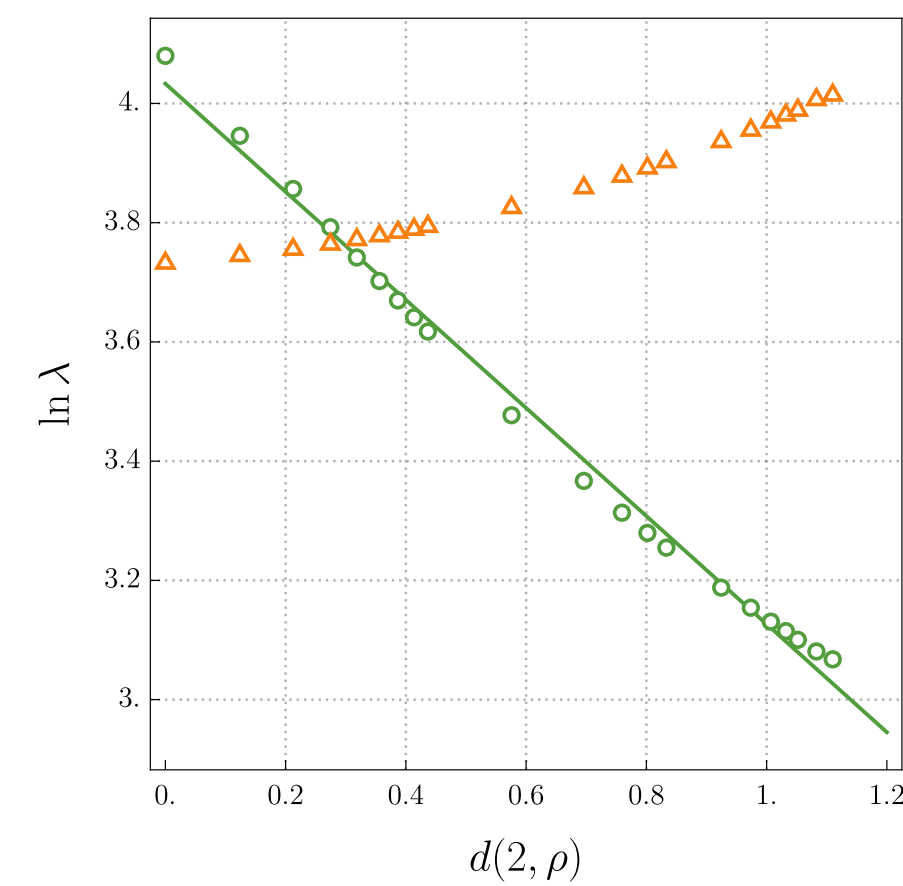
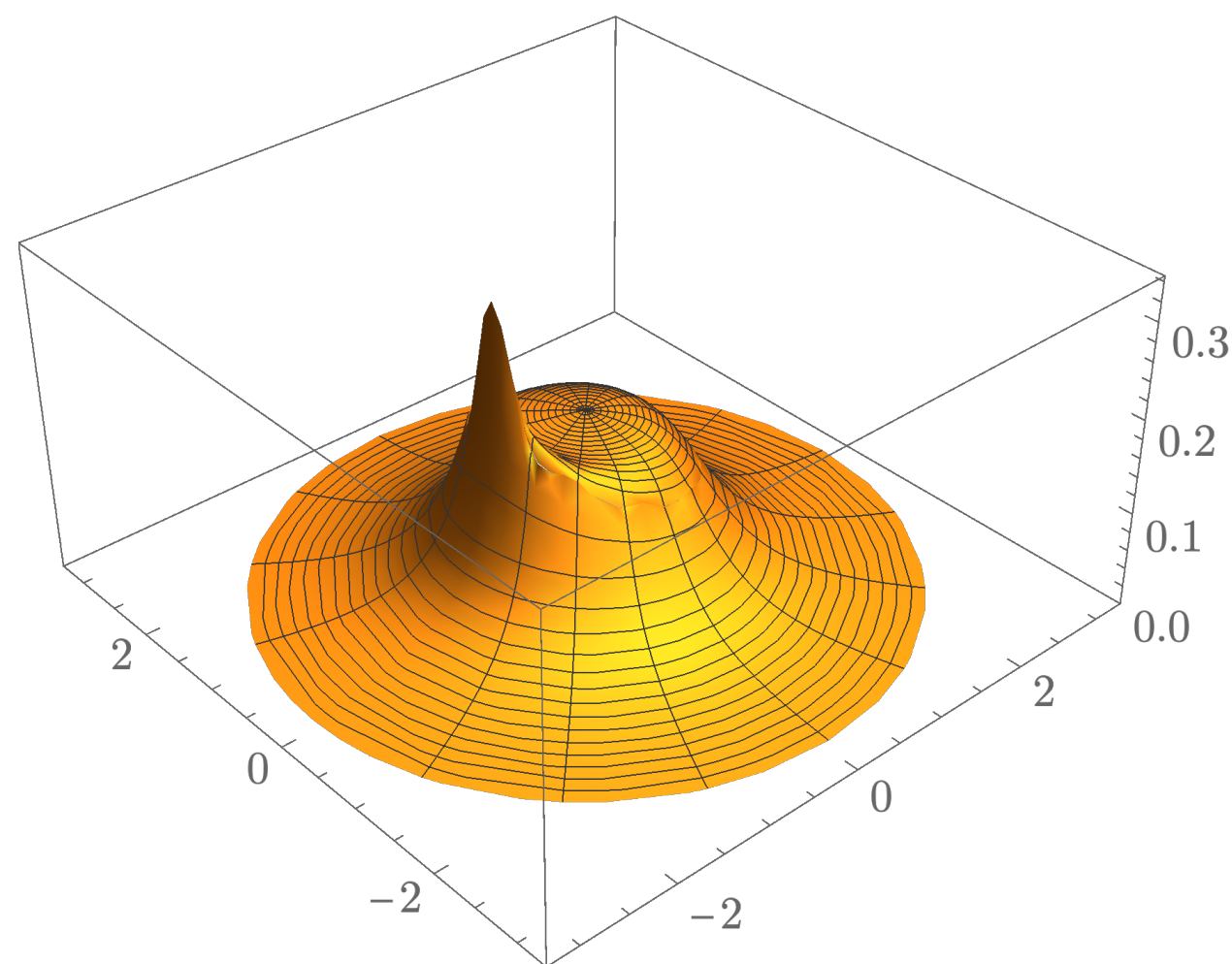
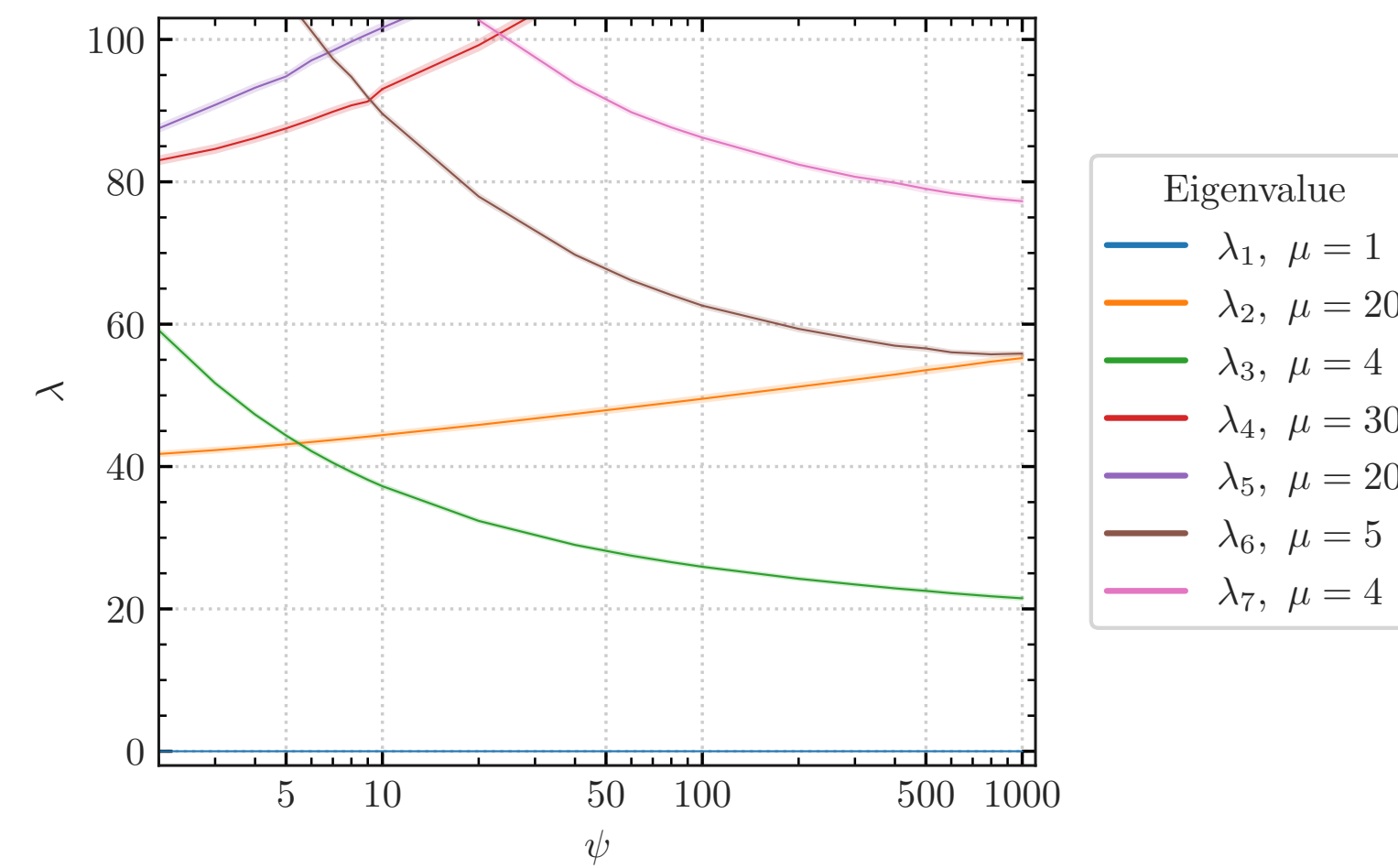
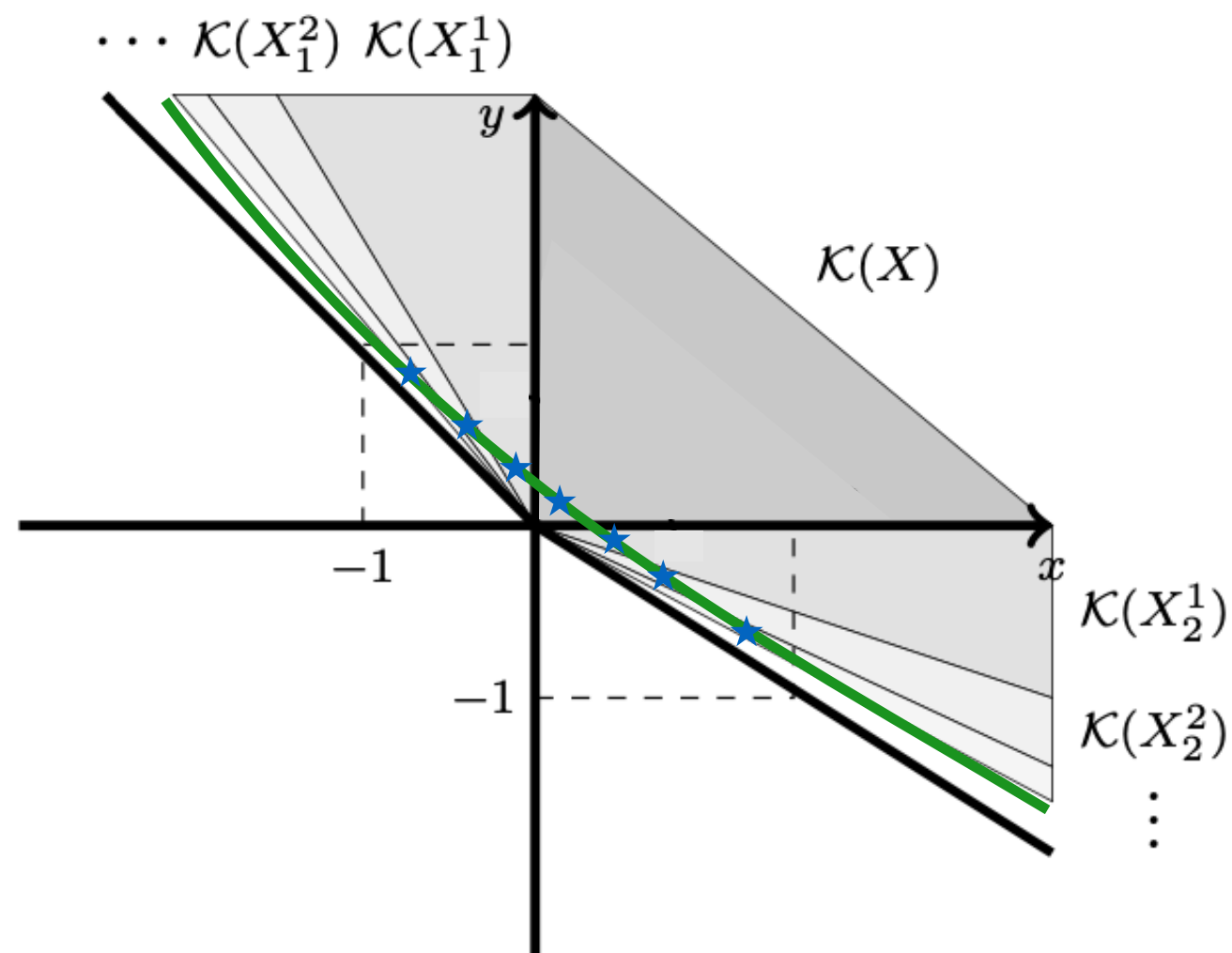
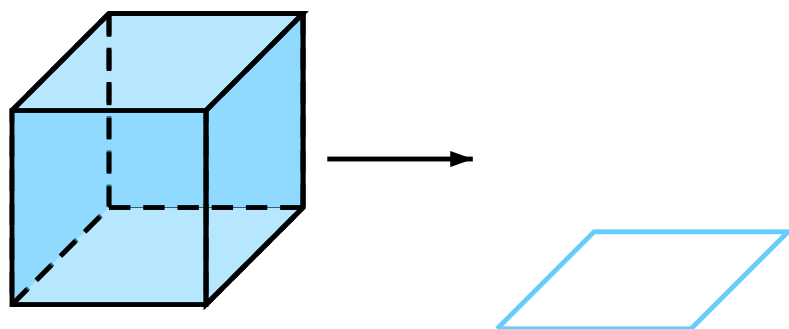
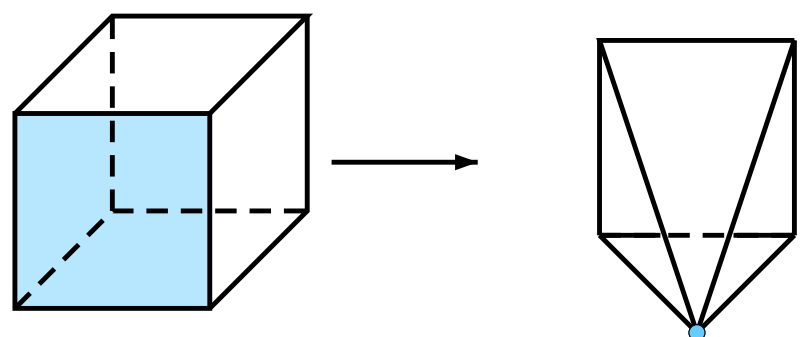
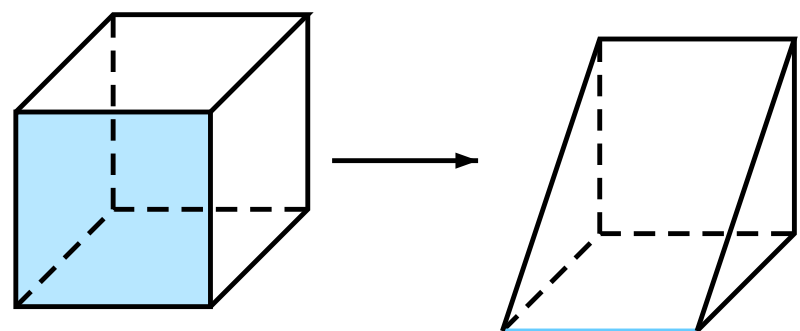
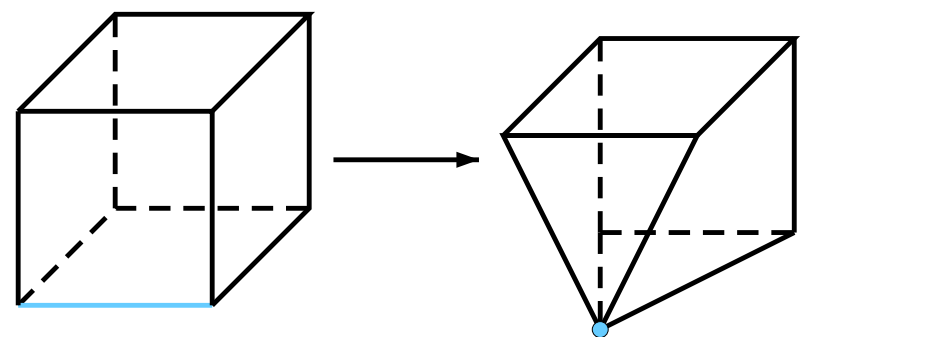
# Mirror duals and modularity

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- ▶ Look at the instant contributions to the pre-potential

$$\mathcal{F} = \mathcal{F}_{\text{class}} + \mathcal{F}_{\text{inst}} = \mathcal{F}_{\text{class}} + \frac{1}{(2\pi i)^3} \sum_{(d_1, \dots, d_r) \in \mathbb{N}^r} n_{(d_1, \dots, d_r)} \text{Li}_3 \left( \exp \left[ 2\pi i \sum_{j=1}^r d_j t_j \right] \right)$$

- ▶ If two curve classes are mapped onto one another, their GV invariants agree
- ▶ Can split the sum over  $d_i$  into group orbits of  $G$
- ▶ For specific choices ( $m_1=m_2=2$ ), the exponentials in the polylog resum into theta functions  $\Rightarrow$  modular prepotential [\[work in progress\]](#)
- ▶ Interestingly, the mirror dual of the HV manifold is precisely of this type. There, its middle cohomology splits in a specific way with deep number-theoretic implications (rank 2 attractor points). [\[Candelas, de la Ossa, Elmi, van Straten `19; Candelas, Kuusela, McGovern `21\]](#)
- ▶ Theta-functions also arise in the 4D superpotential from ED3 branes [\[Naomi's talk\]](#)  
[\[Gendler, Kim, McAllister, Moritz, Stillman `22\]](#)



# Conclusions

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- ▶ Constructed manifolds with infinitely many flops and their symmetry group. Related to GV invariants and intersection numbers
- ▶ Classified and solved geodesics equations for all Picard rank 2 manifolds
- ▶ The KM conjecture prevents a potential inconsistency with the SDC, and the SDC (under some assumptions) implies the KM conjecture
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**Thank you!**